

## Move from national number to GTIN for variable measure items at POS – Brochure for suppliers March 2021

At retail Point-of-Sale the most commonly used barcode is still the linear EAN-13 barcode, which contains 13 digits. Most consumer units scanned at POS are therefore identified with a 13-digit GTIN (= Global Trade Item Number) assigned by the brand owner. This is the global standard for the identification and barco-

ding of retail consumer items, also applied in Belgium & Luxembourg.

However, the situation is different for **consumer items of variable measure**. To automate the scanning process at retail POS, it is crucial that the weight or price of the variable measure item is encoded in the barcode as well. Since a GTIN cannot contain the price or weight, an exception to this global standard needed to be made in order to guarantee the continuity of EAN-13 barcode scanning.





Suppliers selling variable measure products at retail POS in Belgium & Luxembourg needed to identify their products with a **national number**, which they need to request from GS1 Belgium & Luxembourg. This number starts with 295, 296 or 28. Upon weighing/labelling, this "national article number" will be completed by the supplier's system with either the price or the weight. In total this national number will be 13-digits long and can therefore be encoded in an **EAN-13 barcode**.

This is a national solution that hinders traceability, product safety, and efficient international data exchange (e.g. via the GDSN data pool or GS1 Registry Platform), as well as e-commerce. Suppliers need to request different numbers in each country they supply. This creates an administrative burden resulting in inefficiency in the supply chain.

As GS1 we aim to improve efficiency for our members, and we would therefore like to step away from internal or national identification systems, and migrate to a global identification system using a <u>GTIN</u>. A GTIN is a Global Trade Item Number and contains the GS1 Global Company Prefix of the brand owner. A GTIN can be used worldwide and is uniquely linked to the product. In order to obtain a GS1 Global Company Prefix, one needs to become member of a GS1 organisation. The ultimate goal is to use 1 GTIN for one product for all retailers for all countries.

GS1 Belgilux is therefore actively standardizing this identification of variable measure items at POS. As from January 1st 2023, GS1 Belgilux will no longer assign new national article numbers to suppliers selling to the Belgium & Luxembourg market. The supplier will instead need to create a GTIN that includes his GS1 Company Prefix. This GTIN will need to be encoded along with the net weight (and other possible dynamic information like lot number or best before date) in a different type of barcode that can contain all this data and can be scanned at POS.

This is now possible since the POS scanning landscape has changed over the past few years and has welcomed new types of barcodes that can contain more than a GTIN, by following the <u>GS1 Application</u> <u>Identifier standard</u>. This makes it possible to move from the EAN-barcode (with national number) to another POS barcode that can contain the GTIN and net weight instead. Encoding additional data beyond GTIN can unlock opportunities to improve traceability, food safety and waste management. The data needs to respect the standardized formats as follows:

Mandatory	GTIN-13 + leading 0	AI (01)	14 numeric characters
	Net weight	AI (310X)	6 numeric characters with X as number of decimal
Optional	ptional Price to be paid		Up to 15 numeric characters with X as number of decimal
	Lot number	AI (10)	Up to 20 alphanumeric characters
	Price/unit of measure	AI (395X)	6 numeric characters with X as number of decimal
	Best Before Date	AI (15)	6 numeric characters in YYMMDD format

This information is to be encoded in either the GS1 DataMatrix or the GS1 DataBar Expanded (Stacked). These are two types of barcodes following the GS1 Application Identifier standard. However, there are major differences:

• **GS1 DataMatrix** (by preference): this is a 2D barcode, which means it needs to be scanned with a 2D image based scanner. This barcode is very small, can contain a lot of data and can be easily read. More and more Belgilux retailers are implementing/planning to implement 2D at their POS. Since this is still ongoing, the use of GS1 DataMatrix at POS is first to be agreed upon bilaterally between retailer and supplier, to make sure the retailer can scan the product.

These are the dimensions and structure to be followed for GS1 DataMatrix:

- Minimal X-dimension (= size of 1 module) of 0,375 mm
- Nominal X-dimension of 0,625 mm
- Maximal X-dimension of 0,990 mm
- Starting with FNC1 sign
- Separating data fields of variable length with group separators
- L-shaped finder pattern
- Up to 2335 alphanumeric or 3116 numeric characters
- On all four sides: white spaces of one time the X-dimension

More technical information can be found in the **GS1 DataMatrix guideline** (<u>https://www.gs1.org/docs/barcodes/GS1\_DataMatrix\_Guideline.pdf</u>).

• **GS1 DataBar Expanded (Stacked)** (as alternative): this is a linear 1D barcode. This barcode is larger but is already implemented in Belgium & Luxembourg for coupons.

These are the dimensions and structure to be followed for GS1 DataBar Expanded (Stacked):

- Minimal X-dimension of 0,264 mm
- Nominal X-dimension of 0,330 mm
- Maximal X-dimension of 0,660 mm
- Starting with FNC1 sign
- Separating data fields of variable length with group separators
- Up to 74 numeric or 41 alphabetic characters
- No left or right white spaces needed

Examples of the GS1 DataMatrix versus the GS1 DataBar Expanded (Stacked):

**Example of GS1 DataMatrix** 

What is encoded

#### (01)05412345000013(3103)000189(3923)2070(10)ABC123



(01) 05412345000013

- Global Trade Item Number 5412345000013
- Net weight 0,189 kg
- Price to be paid €2,07
- Lot number ABC123

**Example of GS1 DataBar** 



#### What is encoded

(01)05412345000013(3103)000189

- Global Trade Item Number 5412345000013
- Net weight 0,189 kg

As the examples illustrate, we recommend to use the GS1 DataMatrix (because of its smaller size, larger data capacity and higher readability) but only if its use is agreed upon with the Belgilux retailer.

When printing the barcodes, a good printing quality of the barcode is of great importance. The barcode must be printed with black modules/bars on a white surface, in order to guarantee a sufficient colour contrast. Please check the printing process on a regular basis. When printing a GS1 DataMatrix, avoid L-shaped patterns in the lines elsewhere on the label, as the 2D image scanner will look for L-shapes in order to detect the GS1 DataMatrix.

#### Examples of encoded/decoded data in the GS1 DataMatrix

#### GTIN + net weight

- Human readable interpretation (01)05412345000013(3103)000189
- Encoded in barcode FNC101054123450000133103000189
- Decoded in system ]d201054123450000133103000189



88.

#### GTIN + net weight + price to be paid + lot number

- Human readable interpretation (01)05412345000013(3103)000189(3923)2070(10)ABC123
- Encoded in barcode FNC10105412345000013310300018939232070FNC110ABC123
- Decoded in system ]d20105412345000013310300018939232070<GS>10ABC123

When creating the barcode, take the following **tips and tricks** into account.

- A GS1 DataMatrix is not the same barcode as a DataMatrix, which is not a GS1 symbology.
  - Correct: GS1 DataMatrix
  - Incorrect: Datamatrix
- A GTIN (= Global Trade Item Number) is not a number starting with a 2 or 02. A GTIN contains the GS1 company prefix of the brand owner.
  - Correct: 5412345000013 with 5412345 as GS1 company prefix
  - Incorrect: 2862501010005
- AI (01) must be followed by 14 digits. A GTIN-13 must therefore be preceded by a meaningless zero. -Correct: (01)05412345000013
  - Incorrect: (01)5412345000013
- A GTIN starting with indicator 9 can only be used for outer packages that are not scanned at POS. The GTIN-13 must be preceded by a meaningless 0.
  - Correct: 05412345000013
  - Incorrect: 95412345000016
- AI (01) must be followed by a GTIN, not by an internal/national number.
  - Correct: (01)05412345000013
  - Incorrect: (01)02862501010005
- For a GS1 DataBar, it is mandatory to mention all encoded information underneath the barcode in human readable interpretation. For the GS1 DataMatrix, it is only mandatory to put the GTIN in the human readable text underneath the barcode. This human readable text enables manual processing of the GTIN at POS when the barcode is not readable. The reason for the difference between human readable text between GS1 DataMatrix and GS1 DataBar Expanded (Stacked) lies in the higher readability rate (due to the error correction algorithm) of the GS1 DataMatrix.
- Do not encode the brackets around the Application Identifiers in the GS1 DataMatrix. The Application Identifiers should only be between brackets in the human readable text underneath the barcode.

#### Please find an example of a correct label on page 4.

More and more Belgilux retailers are implementing/planning to implement 2D at their POS. The role of GS1 Belgilux is to coordinate the implementation, to verify the labels and to provide technical trainings where necessary. For this project, GS1 Belgilux is strongly supported by GS1 Global Office and other GS1 Member Organisations (e.g. GS1 Sweden, GS1 Netherlands and GS1 Germany), as the interest in 2D barcodes is rising on a global level.

Please contact the Belgilux retailer you are working with to check their readiness for 2D scanning prior to applying the GS1 DataMatrix on the label.

For more information, please contact Karen Arkesteyn at <u>karkesteyn@gs1belu.org</u>.

#### **Example of label**

This is an example of how the GS1 DataMatrix should be used on the label. The GTIN is the only mandatory information string that needs to be put as human readable text underneath the barcode. It suffices to mention the other encoded information on the label next to the barcode. In case of a GS1 DataBar Expanded, all other encoded information will need to be put underneath the barcode in human readable text.

Please note that the mentioning of e.g. ingredients is out of scope, as they obviously need to be mentioned on the label as well. It is legally mandatory in Belgium to mention the 'Price per kg' on the label. If the barcode is not scannable at POS, the 'Price to be paid' can be calculated by multiplying the net weight and the 'Price per kg'.

In the following example it would be 0,189 kg \*  $\in$  11,49 =  $\in$  2,17161 as 'Price to be paid'. Because the X = 3 as decimal for the 'Price to be paid', it rounds up to  $\in$  2,172 in the barcode (encoded as (3923)2172) and  $\in$  2,17 on the label.



Please contact GS1 Belgium & Luxembourg for technical support or consult the GS1 General Specifications (<u>https://www.gs1.org/docs/barcodes/GS1\_General\_Specifications.pdf</u>).

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The Global Language of Business

# GS1 DataMatrix Guideline

Overview and technical introduction to the use of GS1 DataMatrix

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## **Table of Contents**

1	Int	roduc	tion to GS1 DataMatrix	8
	1.1	Gen	eral structure	8
	1.2	Tech	nical characteristics	8
		1.2.1	Shape and presentation of the symbol	8
		1.2.2	Size and encoding capabilities	9
		1.2.3	Error detection methods	13
		1.2.4	Reed-Solomon error correction	13
	1.3	Gen	eral recommendations for defining Application Standards	13
2	Ene	coding	g data	14
	2.1	The	encoding structures	14
	2.2	GS1	element strings	14
		2.2.1	Function 1 Symbol Character (FNC1)	15
		2.2.2	Concatenation	
		2.2.3	Pre-defined length vs. fixed length element strings	16
	2.3	Hum	nan readable interpretation (HRI)	
	2.4		bol location	
	2.5	-	ommendations on encoding for defining Application Standards	
3		_	and decoding GS1 DataMatrix	
	3.1		ciples of reading GS1 DataMatrix	
	3.2		nners for GS1 DataMatrix	
		3.2.1	Introduction	
		3.2.2	Selecting a scanner	
	3.3		oding	
		3.3.1	The principles of decoding	
		3.3.2	Transmission of data strings	24
4	Syı	mbol ı	marking techniques	26
	4.1	Basi	c software functions	26
		4.1.1	Printing device independent software	26
		4.1.2	Software embedded in the printing device	
		4.1.3	Selecting the right software	
	4.2	Svm	ibol marking technologies	
		4.2.1	Thermal transfer	
		4.2.2	Inkjet	
		4.2.3	Laser etch (Direct Part Marking - DPM)	
		4.2.4	Dot peen (Direct Part Marking - DPM)	
	4.3		ecting the right symbol marking technology	
	4.4		eral recommendations for symbol quality	
	4.5		burs and contrast	
	4.6		fication of symbol (data and print quality)	
	1.0	4.6.1	ISO/IEC 15415 Barcode print quality test specification-2D symbols	
		4.6.2	Other print quality standards	
		4.6.3	Possible causes of low grade	
			-	
		4.6.4	The verification process	
		4.6.5	Selecting a verifier	40



	4.7	Reco	mmendations when developing Application Standards	40
Α	An	nexes		. 42
	A.1	GS1	size recommendations for symbols using GS1 DataMatrix	42
		A.1.1 scanne	Symbol specification table 6 - Regulated healthcare non-retail consumer trade items n d in general distribution	
		A.1.2	Symbol specification table 7 - Direct part marking	42
		A.1.3 distribu	Symbol specification table 8 - Trade items scanned in retail pharmacy and general ition or non-retail pharmacy and general distribution	44
		A.1.4	Symbol specification table 9 - GS1 keys GDTI, GRAI, GIAI and GLN	44
		A.1.5 scanne	Symbol specification table 10 – Regulated healthcare retail consumer trade items not d in general distribution	44
		A.1.6	Symbol specification table 11 – GS1 GSRNs	45
	A.2	The	International Standard ISO/IEC 646 for representation of each character	45
	A.3	The	Extended ASCII Codes	47
	A.4	Prote	ocol used to encode ASCII in GS1 DataMatrix	50
	A.5	Stru	cture of codewords used in GS1 DataMatrix	51
	A.6	Use	of GS1 DataMatrix for healthcare products	51
	A.7	GS1	DataMatrix questions and answers (Informative)	51
B	Bib	oliogra	phy	. 57
С	Glo	ossary	of terms	. 58



#### Foreword

This document aims to facilitate this process by offering detailed information on GS1 DataMatrix and its technical characteristics: encoding, printing and reading. This document is the result of the consolidation of technical knowledge of many users on the Data Matrix technology. It aims to be a repository of reference information that can support the implementation of GS1 DataMatrix in any sector, industry or country. This document is not meant to be all inclusive and is not a replacement for any GS1 standard. The GS1 General Specifications should always be referred to for all details on GS1 System rules and recommendations.

#### Who should use this document?

The intended audience for this document includes GS1 member organisation staff, customers, users of the GS1 System and members of working groups developing application standards and guidelines for GS1 System applications. Please refer to the current version of GS1 General Specifications for all application standards.

This document is not the development standard required to develop hardware and software to encode, decode, scan or print GS1 DataMatrix symbology. The technical detail for this level of implementation shall be found in the standard: ISO/IEC 16022, Information technology - Automatic identification and data capture technologies - Data Matrix barcode symbology specification.

This document is not intended as a technical reference for development of imaging (printing and marking), reading (scanning and decoding) and transmission of data technologies; for those who need this level of detail, the standards cited in the bibliography (in particular ISO/IEC 16022) should be implemented.

It is assumed that readers of this document are familiar with barcode applications, are able to create a barcode and understand the basic principles of automatic identification and data capture.

#### How to use this document?

GS1 DataMatrix is primarily intended for implementation in an open system (e.g., a system in which the supplier can mark items in the expectation that all trading partners will be able to read and correctly interpret the data encoded). In this context, a standard implementation is essential to avoid each partner having to re-label products for different customers and / or at different points of the supply chain.

This guide is designed to help define standard implementations of GS1 DataMatrix. It is a synthesis of recommendations for encoding, printing and reading GS1 DataMatrix.

GS1 has over 40 years' experience in the definition, maintenance and management of standards for barcode applications.



## **1** Introduction to GS1 DataMatrix

GS1 DataMatrix is a matrix (2D or two-dimensional) barcode which may be printed as a square or rectangular symbol made up of individual dots or squares. This representation is an ordered grid of dark and light dots bordered by a finder pattern. The finder pattern is partly used to specify the orientation and structure of the symbol. The data is encoded using a series of dark or light dots based upon a pre-determined size. The size of these dots is known as the X-dimension.

Before reading this document one should know the difference between data carrier and data structure. A data carrier is a graphical representation of data in a machine readable form, used to enable automatic reading of the Element Strings. GS1 DataMatrix is the ISO/IEC recognised and standardised implementation of the use of Data Matrix. The GS1 DataMatrix is formed by adding FNC1 codeword in the first position of Data Matrix ECC 200 version.

#### **1.1 General structure**

GS1 DataMatrix is composed of two separate parts (see figure below): the finder pattern, which is used by the scanner to locate the symbol, and the encoded data itself.

The finder pattern defines the shape (square or rectangle), the size, X-dimension and the number of rows and columns in the symbol. It has a function similar to the Auxiliary Patterns (Start, Stop and Centre patterns) in an EAN/UPC barcode and allows the scanner to identify the symbol as a GS1 DataMatrix.

The solid dark is called the "L finder pattern". It is primarily used to determine the size, orientation and distortion of the symbol.

The other two sides of the finder pattern are alternating light and dark elements, known as the "Clock Track". This defines the basic structure of the symbol and can also help determine its size and distortion.

The data is then encoded in a matrix within the Finder pattern. This is a translation into the binary GS1 DataMatrix symbology characters (numeric or alphanumeric).



#### Figure 1-1 Finder Pattern and the data

Data

Just like linear (1D) barcodes GS1 DataMatrix has a mandatory Quiet Zone. This is a light area around the symbol which must not contain any graphic element which may disrupt reading the barcode. It has a constant width equal to the X-dimension of the symbol on each of the four sides.

Each Data Matrix symbol is made up of number of rows and columns. GS1 DataMatrix always has an even number of rows and columns. Therefore it always has a light "square" in the upper right hand right corner (circled in the figure above). Obviously, this corner will be dark if the GS1 DataMatrix symbol is printed in negative (inverse reflectance printing).

#### **1.2** Technical characteristics

Finder pattern

#### **1.2.1** Shape and presentation of the symbol

When implementing GS1 DataMatrix, a choice of symbol form must be made (based upon configuration support, available space on the product type, amount of data to encode, the printing process, etc.). It is possible to encode the same data in two forms of GS1 DataMatrix:



Figure 1-2 A square form versus a rectangle form



The square form is the most commonly used and enables the encoding of the largest amount of data according to ISO / IEC 16022 Information technology – Automatic Identification and data capture techniques – Data Matrix barcode symbology specification.

However the rectangular form with its limited height is better suited to some high speed printing techniques, and to unusual printing spaces.

#### 1.2.2 Size and encoding capabilities

GS1 DataMatrix is capable of encoding variable length data. Therefore, the size of the resulting symbol varies according to the amount of data encoded. Accordingly, this section can approximate the size of a given GS1 DataMatrix based on this parameter.

The figure below is extracted from ISO/IEC 16022 (for the full table see <u>Table 1-1</u>). It provides a useful guide to estimating the size of the symbol but the exact size of the GS1 DataMatrix symbol depends on the exact encoded data. See the figure below for the size and capacity graph.



Figure 1-3 Symbol Size vs. Numeric Capacity



Symb size*			Data region Mapping matrix size		Total codewor	Total codewords		ım data Y	% of Codewords used for	Max. correctable codewords
							Num	Alpha - num.	error correction no.	error/erasure
Row	Col	Size	No		Data	Error	Cap.	Cap.	110.	
10	10	8x8	1	8x8	3	5	6	3	62.5	2/0
12	12	10x10	1	10x10	5	7	10	6	58.3	3/0
14	14	12x12	1	12x12	8	10	16	10	55.6	5/7
16	16	14x14	1	14x14	12	12	24	16	50	6/9
18	18	16x16	1	16x16	18	14	36	25	43.8	7/11
20	20	18x18	1	18x18	22	18	44	31	45	9/15
22	22	20x20	1	20x20	30	20	60	43	40	10/17
24	24	22x22	1	22x22	36	24	72	52	40	12/21
26	26	24x24	1	24x24	44	28	88	64	38.9	14/25
32	32	14x14	4	28x28	62	36	124	91	36.7	18/33
36	36	16x16	4	32x32	86	42	172	127	32.8	21/39
40	40	18x18	4	36x36	114	48	228	169	29.6	24/45
44	44	20x20	4	40x40	144	56	288	214	28	28/53
48	48	22x22	4	44x44	174	68	348	259	28.1	34/65
52	52	24x24	4	48x48	204	84	408	304	29.2	42/78
64	64	14x14	16	56x56	280	112	560	418	28.6	56/106
72	72	16x16	16	64x64	368	144	736	550	28.1	72/132
80	80	18x18	16	72x72	456	192	912	682	29.6	96/180
88	88	20x20	16	80×80	576	224	1152	862	28	112/212
96	96	22x22	16	88×88	696	272	1392	1042	28.1	136/260
104	104	24x24	16	96x96	816	336	1632	1222	29.2	168/318
120	120	18x18	36	108×108	1050	408	2100	1573	28	204/390
132	132	20x20	36	120x120	1304	496	2608	1954	27.6	248/472
144	144	22x22	36	132x132	1558	620	3116	2335	28.5	310/590

#### Table 1-1 Table of Data Matrix symbol attributes (square form)

\* **Note**: Symbol size does not include Quiet Zones.

#### **1.2.2.1 Size and configuration of the symbol**

The sizes provided above are given in terms of numbers of rows and columns. For the GS1 DataMatrix square-form, the number of rows and columns can vary between 10 and 144 providing 24 different potential symbol sizes.



By contrast for the GS1 DataMatrix rectangle-form, the number of rows can vary between 8 and 16 and the number of columns between 18 and 48. The GS1 DataMatrix in rectangle-form allows six sizes (the square form has 24) and its use is less widespread than the square- form.

#### **1.2.2.2 The dimensions of the symbol**

The size of a GS1 DataMatrix is dependent upon the following factors:

- The amount and format (numeric or alphanumeric) of the encoded information: numbers and characters are encoded in terms of bits, represented by dark or light "dots" or "modules" of an identical size. The larger the number of bits required, the larger the symbol will be.
- The size of the X-dimension (see <u>A</u>)
- The choice of form: square or rectangular

#### 1.2.2.3 Maximum amount of encoded data

The table above show the maximum amount of data that can be encoded in the square form of DataMatrix. Data Matrix can encode up to:

- 2,335 alphanumeric characters, or
- 3,116 numbers

As GS1 DataMatrix always requires the use of the Function 1 symbol character in its first position, its capacity is reduced to 2,334 alphanumeric characters, or 3,114 numbers

This maximum is based upon a square-form symbol made up of 144 rows and 144 columns divided into 36 *Data Regions* of 22 rows and 22 columns each.

For GS1 DataMatrix in the rectangle-form, the maximum capacity is:

- 71 alphanumeric characters or
- 96 numbers

A GS1 DataMatrix symbol can encode a sequence of numeric and alphanumeric data, structured according to the GS1 Application Identifier rules.

#### 1.2.2.4 Data regions

The matrix symbol (square or rectangle) will be composed of several areas of data (Data Regions), which together encode the data.

The table below shows an extract of ISO/IEC 16022, which gives details on how the Data Regions are composed. For example a symbol consists of 32 rows and 32 columns, including four sub-arrays of 14 rows and 14 columns. The number and size of "sub-matrices" within the GS1 DataMatrix symbol are shown in the column "Data Region".



Table 1-2 Symbol size vs. data region table

Symbol si (without	ze Quiet Zones)	Data region		
Row	Column	Size	No.	
24	24	22 x 22	1	Symbols with one-Data Region
26	26	24 x 24	1	Changeover Threshold
32	32	14 x 14	4	— Symbols with more than one Data Region
36	36	16 x 16	4	

(For the full table see <u>Table 1-1</u>).

#### **1.2.2.5 Error correction**

The table below shows the percentage of space used for Error Correction in the Data Matrix symbol and the number of codewords (data bytes) which may contain an error or be concealed without it being detrimental when scanning and reading the symbol. **Example**: Where 80 numeric digits have to be encoded

Table 1-3 26X26 Data	Matrix symbol attributes
----------------------	--------------------------

		Data region		i copping the		Maximum data capacity			% of Codewords used for	Max. correctable codewords	
Quiet Zones)							Num.	Alpha - num.	Byte	error correction No.	error/erasure
Row	Col	Size	No.		Data	Error	Row.	Col.	Size		
26	26	24x24	1	24x24	44	28	88	64	42	38.9	14/25

(For the full table see <u>Table 1-1</u>). In the extract above from the ECC 200 Symbol Attributes table of ISO/IEC 16022, we have selected the size of matrix which is equal to, or the next higher than, the amount of data to be encoded – in this case: 88 numeric digits.

Therefore, the matrix is composed of 26 rows and 26 columns.

This matrix is made up of 72 bytes, which is the sum of the total number of data and error *codewords* shown in the table above (44 + 28)

If the encoded data, irrespective of the encodation scheme in force, does not fill the data capacity of the symbols, the pad character (Codeword value: 129) shall be added to fill the remaining data capacity of the symbol.

### Jene Stant:

- The size of the Data Matrix symbol is determined by the amount of data to be encoded and not on the desired percentage of error correction.
- However, applicable application standards define the best options for a given fixed encodation scheme



#### **1.2.3 Error detection methods**

There are several methods of error detection. An example is the check digit used by many linear barcodes, which use an algorithm to calculate the last digit of the number encoded. Check digits can confirm if the string of data is encoded correctly according to the specified algorithm. In the case of a mistake, however, it cannot indicate where the mistake was made.

Another example is to repeat data encoded within a symbol, which will help to obtain a successful read even if the symbol is damaged. This is called redundancy and can lead to some confusion when applied to GS1 DataMatrix: for GS1 DataMatrix we will talk about "Error Correction".

Indeed, the encoding of data in a GS1 DataMatrix symbol can be done using multiple *security levels*. The two-dimensional structure allows the encoding of the data and mechanisms for correcting errors should they occur. These mechanisms enable the scanner to reconstitute some of the information in the event of a damaged or difficult to read GS1 DataMatrix symbol. Several security levels are described in the Data Matrix standard ISO/IEC 16022 (Information technology - International Symbology Specification). Each of the Data Matrix code types: ECC 000; ECC 050; ECC 080; ECC 100; and ECC 140 has some form of error detection and correction. ECCs that are no longer used are mentioned here for completeness.

#### **1.2.4** Reed-Solomon error correction

GS1 DataMatrix (Data Matrix ECC 200) is the only Data Matrix configuration which employs Reed-Solomon error correction. This feature allows, to a certain extent, the location of errors and, where possible, their correction.

The Reed-Solomon error correction:

- Calculates complementary codes and add-ins during the creation of the symbol.
- Reconstitutes the original encoded data by recalculating the data from the complementary codes and add-ins. The recalculation regenerates the original data by locating errors at the time of scanning. Such errors may be the result of printing problems, specular reflection or degradation of the printed surface.

As outlined above (see section <u>1.2.2.5</u>), the level of error correction depends on the relative number of error correction codewords used.

For GS1 Applications only Data Matrix ECC 200 is specified. GS1 DataMatrix is the version as defined in ISO/IEC 16022 which supports GS1 Application Identifiers (GS1 AIs) data and the Function 1 symbol character (FNC1). GS1 AIs and FNC1 are required in the GS1 DataMatrix header structure. In this way GS1 DataMatrix is different from all other Data Matrix versions and other (non-GS1) data encoding methods.

#### **1.3** General recommendations for defining Application Standards

Achieving an effective business implementation of any technology depends on correctly matching the features of technology to the user needs. Please refer to the current version of GS1 General Specifications for more information on application standards.

When developing application standards for Data Matrix, users must meet to agree on:

- The mandatory data (GS1 Application Identifiers) to be encoded. For example, if it is agreed that the business need is met by encoding between 20 and 40 digits of numeric data, then a Data Matrix symbol with 20 rows and 20 columns will meet the need.
- The shape of the Data Matrix: square or rectangle. Indeed, both square and rectangle forms could be an option.
- The Error Correction. For GS1 Applications only Data Matrix ECC 200 is specified and the error correction is given.



## 2 Encoding data

The sub-sections below outline the various methods that exist for encoding data into GS1 DataMatrix symbols. All methods used to generate the GS1 DataMatrix symbol require the data to be submitted in a form that is understandable to the encoder.

#### 2.1 The encoding structures

The general version of Data Matrix supports various encoding structures which can be used in the same symbol simultaneously. Examples include: ASCII, ISO/IEC 646, C40, Text, X12, EDIFACT and Base 256. These structures provide an opportunity to maximise the efficiency of encoding the required data in a Data Matrix symbol.

The simplest solution, and the one mandated by the GS1 standards, is to encode data using the subset of ISO/IEC 646 (equivalent to ASCII table 256) for all the information. This limited character set is supported by almost all computer systems available around the world today. It is strongly recommended to make ISO/IEC 646 (or the equivalent ASCII 256) the default option.

ISO/IEC 646 is derived from the ASCII (American Standard Code for Information Interchange) that was first established in the 1960s as the standard way for the binary representation of digits and the characters in the Latin alphabet. For example, the character "a" is associated with "01100001" and "A" to "01000001" in the standard ASCII 256. This enabled digital devices to communicate with each other and to process, store, and communicate character-oriented information. In particular, almost all personal computers and computer-like devices in the world started adopting ASCII encoding.

Although ASCII coding is now supplemented by additional characters, known as extended characters, to support computers encoding characters that are not used in English such as accented characters "à", "ô" or "é") these are not allowed for use within the GS1 System, and so not in GS1 DataMatrix. This is not because Data Matrix is unable to encode these characters, but for global use ambiguity may arise due to:

- The same ASCII code being used for different extended characters in different geographical regions
- The inability of many users to key enter the extended characters (due to computer limitations and human factors).
- Only the characters contained in the ISO 646 invariant subset may be used. It should be noted that spaces cannot be encoded (see *International Standard ISO/IEC 646* for representation of each character).
- The GS1 Application Identifiers (or AIs) are used for all encoded data (see section <u>2.2</u>).

#### 2.2 GS1 element strings

Although it is possible to encode any type of data in Data Matrix symbols, the data must be structured according to the rules of the GS1 System when using GS1 DataMatrix.

Element strings begin with an Application Identifier which is then followed by the data that the AI denotes. The system can be characterised by:

- A standard format for encoding data and barcoding specifications
- A symbol architecture that allows multiple data elements (for example, item identification, expiration date, batch number, etc.) within a single barcode.

These features enable trading partner information systems to be developed in a way that enables communication via encoding and decoding the information in the GS1 DataMatrix symbol.

GS1 Application Identifiers (AIs) are 2, 3 or 4 digit numbers which define the meaning and the format of the data that follows. Each AI and its associated data can be encoded into a GS1 DataMatrix symbol in the same way – and using the same logical rules - as encoding data in the linear barcode GS1-128. Application Identifiers should be clearly recognisable to facilitate key entry. This is achieved by putting parentheses around Application Identifiers in the Human Readable Interpretation



under the symbol. The parentheses are not part of the data and must not be encoded in the barcode.

This table shows six examples of common GS1 element strings:

#### Table 2-1 GS1 element strings

AI	Data definition	Format (AI & data)*
01	GTIN	N2+N14
10	Batch or lot number	N2+X20
11	Production date (YYMMDD)	N2+N6
15	Best before date (YYMMDD)	N2+N6
17	Expiration date (YYMMDD)	N2+N6
21	Serial number	N2+X20

#### Table 2-2 \* Meaning of the format used

Format	Meaning
Ν	Numeric digit
Х	Alphanumeric characters
N2	Fixed length of two numeric digits
X20	Variable length with a maximum of 20 alphanumeric characters

A complete list of Application Identifiers can be found in the GS1 General Specifications.

#### 2.2.1 Function 1 Symbol Character (FNC1)

By definition in ISO/IEC 16022 GS1 DataMatrix uses a special start sequence to differentiate GS1 DataMatrix from other ISO/IEC Data Matrix symbols. This is achieved by using the Function 1 Symbol Character (FNC1) in the first position of the data encoded. It enables scanners to process the information according to the GS1 System Rules.

The FNC1 (codeword 232) has two separate uses in GS1 DataMatrix:

- Start character.
- Separator character to separate element strings that are not in the predefined list (see <u>Table 2-3</u>).

**Important**: In accordance with ISO/IEC 15424 - Data Carrier Identifiers (including Symbology Identifiers), the Symbology Identifier is the first three characters transmitted by the scanner indicating symbology type. For a GS1 DataMatrix the symbology identifier is ]**d2**.

#### 2.2.2 Concatenation

Using GS1 DataMatrix, it is possible to concatenate (chain together) discrete element strings (Application Identifiers (AIs) and their data) into a single symbol. When the element string is of predefined length, no separator character is required when another element string is added to it. When the element string is not of predefined length, it must be followed by a separator character when concatenating more element strings. The separator character may be FNC1 or the control character <GS> (ASCII value 29 (decimal), 1D (hexadecimal)). FNC1 is the character that has the codeword value 232. When either FNC1 or <GS> is used as a separator character, the scanner must transfer the character value as control character <GS>.

A separator character is never required after the last element string encoded in the symbol.



#### Example:

- There are element strings with abbreviations ES 1, ES 2 and ES 3.
- ES 1 has a predefined length (see <u>Table 2-3</u>)
- ES 2 and ES 3 do not have predefined lengths (e.g., they contain variable length data)
- FNC1 is used to represent the Function 1 Symbol Character.
- GS> is used to represent the control character <GS>.

#### Concatenation of three element strings, ES1, ES 2 and ES 3

Data content of GS1 DataMatrix symbol					
FNC1	ES 1 (predefined length)	ES 2 (non- predefined length)	FNC1 or <gs></gs>	ES 3 (non- predefined length)	

When several element strings have to be concatenated and only one of them is of variable length, it is strongly recommended to position it at the end of the symbol. This optimises the size of the symbol by avoiding the use of a separator character.

#### 2.2.3 Pre-defined length vs. fixed length element strings

A common mistake is to believe that any GS1 element string of a fixed length is never followed by a separator character. Only the element strings with a pre-defined length listed in <u>Table 2-3</u> do not need a separator character. All other fixed length element strings require a separator character.

<u>Table 2-3</u> shows all the element strings that were predefined in length when GS1 Application Identifiers were first introduced. This table has never been amended and there is no intention to change it in the future. It enables software decoders to be built without the risk of them having to be modified by the publication of new GS1 Application Identifiers. This table should be included in any processing software which is intended to process GS1 AIs.

The numbers in parentheses are not yet allocated. They were placed in reserve and may, in the future, be allocated to new element strings with a predefined length.

For all GS1 element strings that start with two digits that are not included in this table, it is mandatory to follow the element string with a separator character if it is not the last element string encoded in the symbol.



**Table 2-3** Element strings with pre-defined length using Application Identifiers (GS1 General Specifications Figure 5.10.1-2).

Figure 5.10.1-2). First two-digits of the Application Identifier	Number of characters (Application Identifier and data field)
00	20
01	16
02	16
(03)*	16
(04)*	18
11	8
12	8
13	8
(14)*	8
15	8
16	8
17	8
(18)*	8
(19)*	8
20	4
31	10
32	10
33	10
34	10
35	10
36	10
41	16

\*Those Application Identifiers are reserved for future assignment

**Example**: The element string beginning with the AI (426) is defined as N3 + N3 i.e. with a fixed length data field of three digits. AI (426) does not appear in the table above and must be followed by a separator character, either FNC1 or <GS>, when other element strings are concatenated after it.





Figure 2-1 Processing of Data from a scanned GS1 DataMatrix symbol



#### 2.3 Human readable interpretation (HRI)

It is recommended to have Human Readable Interpretation of the Application Identifiers (AIs) and their associated data near the GS1 DataMatrix Symbol in which they are encoded. The precise location and font used for the Human Readable Interpretation are determined by the specific application guidelines (see section <u>1.3</u>). Typical conventions place the primary information, such as the Global Trade Item Number (GTIN), in the human readable data underneath the barcode. The characters, however, should be clearly legible and must be obviously associated with the symbol.

Application Identifiers (AIs) should be clearly recognisable within the Human Readable Interpretation to facilitate key entry in the event that the symbol cannot be scanned. This is achieved by putting the AI between parentheses. The parentheses are not part of the data and are not encoded in the symbol. This is in clear contrast to the use of the FNC1 which must be encoded in the symbol, when used as a start or separator character, but never appears in the Human Readable Interpretation.

The following examples show the encoded data in the GS1 DataMatrix and how the Human Readable Interpretation could appear:



#### Figure 2-3 Example 2

#### Example 2

Encoded String: FNC101095011010209171719050810ABCD1234FNC12110



(01)09501101020917(17)190508(10)ABCD1234(21)10



#### Figure 2-4 Example 3

#### Example 3

Encoded String: FNC101034531200000111719112510ABCD1234

The Human Readable Interpretation may also use legible text instead of the AI digits using the standardised Data Titles. In the example below, the data shown for the Application Identifier for Expiration Date requires a format that does not match the Human Readable Interpretation. As noted in the GS1 General Specifications, in this situation, only the data title of the Application Identifier can be printed, the Application Identifier is not printed:



GTIN (01): 03453120000011 EXPIRY: 2019-11-25 BATCH/LOT (10): ABCD1234



**Note:** HRI legible text instead of AI is only allowed for Healthcare items.

Always refer to the GS1 General Specifications for complete rules and recommendations on the application and use of Human Readable Interpretation.

#### 2.4 Symbol location

The exact location of a GS1 DataMatrix symbol on a product is determined by the manufacturer who will need to consider: (see Section 6 of the GS1 General Specifications for more details)

- The available space on the product package
- The type of product and printing substrate (packaging material)

Other packaging constraints can also greatly affect the reading of the symbol. For example folds or seams in the packaging, curvature (e.g., blister packs), etc. can all impact scanning and should be considered when selecting the most appropriate symbol location. This is especially important when printing very small GS1 DataMatrix symbols.

It should be noted, however, that thanks to its intrinsic properties, the orientation of the symbol has no impact on scanning performance.



## Figure 2-5 Rectangular form example

2.5 Recommendations on encoding for defining Application Standards

In terms of encoding data, the application standard must specify the following:



- The Data Matrix syntax and encoding rules. For GS1 Applications, this syntax is already subject to technical specifications defined and recognised (ECC 200 with leading FNC1 and GS1 Application Identifiers)
- Which Application Identifiers (AIs) to use (mandatory and optional)
- Location and format of Human Readable Interpretation
- If necessary, symbol placement determined by the area of application. Examples of areas of application could include: direct part marking of surgical instruments, unit- dose pharmaceuticals, logistic applications, etc.

Please refer to the current version of GS1 General Specifications for more information on application standards.



## **3 Reading and decoding GS1 DataMatrix**

Once the symbol is printed, a reading or scanning device is required to capture the encoded data. The word 'scanning' is normally used to cover two separate process steps:

- 1. The actual scan (the reading of the dark and light areas)
- 2. The decode (the processing of the captured image to determine the encoded data)

In this regard GS1 DataMatrix performs very similar to the well-known linear barcodes endorsed by GS1, such as EAN-13, ITF-14, GS1-128 and GS1 DataBar. However, it differs from these linear symbols by requiring a camera or imaging based scan as data is encoded in two-dimensions.

Once decoded, the data will be passed to an information system for further processing.

#### 3.1 **Principles of reading GS1 DataMatrix**

Like other 2D barcodes, GS1 DataMatrix can only be read by imaging cameras or CCD (Charge Couple Device) devices. The principle is based upon first capturing the image of the symbol and then analysing it. The finder patterns (see section 1.1) are used to recreate a virtual image of the matrix.

Typically, each of the dark and light areas within the matrix are converted to binary values (1 or 0). This is then processed according to the reference decode algorithm of GS1 DataMatrix as defined in ISO/IEC 16022 based upon an ideal image

-				ucui	<u> </u>					
+	+	+	+	+	+	+	+	+	+	+
+	+	+	+	+	+	+	+	+	+	+
+	+	+	+	+	+	+	+	+	+	+
+	+	+	+	+	+	+	+	+	+	+
+	+	+	+	+	+	+	+	+	+	+
+	+	+	+	+	+	+	+	+	+	+
+	+	+	+	+	+	+	+	+	+	+
+	+	+	+	+	+	+	+	+	+	+
+	+	+	+	+	+	+	+	+	+	+
+	+	+	+	+	+	+	+	+	+	+
+	+	+	+	+	+	+	+	+	+	+

Figure 3-1 Ideal grid

+ = Ideal Centre of each module

#### 3.2 Scanners for GS1 DataMatrix

#### **3.2.1** Introduction

GS1 DataMatrix symbols require scanners that can read in 2-dimensions. Typically this requires camera or imaging technology. This is a different technology from the one used by many of the laser scanners for reading linear barcodes. A linear symbol, like EAN-13 or GS1-128 can be read by a single laser beam passing across the length of the symbol. However, to read GS1 DataMatrix symbol requires the entire image to be read in both the X and Y axis.



Camera based scanning systems tend to have the ability to distinguish up to 256 levels of grayscale. This advantage enables some specific camera based scanning systems to better handle very low contrast symbols such as those directly engraved in the metal (see section 4.5).

It is important to note that almost any scanner capable of reading GS1 DataMatrix can also read linear barcodes as well (GS1-128, EAN-13, UPC-A, etc.).

#### 3.2.2 Selecting a scanner

There are a number of providers who make suitable scanning equipment. The exact choice of scanner will depend on many factors including price, operating environment, etc. There are, however, two factors which are likely to impact quality:

- 1. Software for image processing and decoding,
- 2. The optics and sensors

#### **3.2.2.1 Image processing and decoding**

The exact internal workings of a scanning and the decoding system used in a particular scanner are normally commercially sensitive information .Companies only publish the capabilities of a scanner. However, in broad terms, the decoding software must be in line with the reference decode algorithm.

The quality of the image captured will be determined, in part, by the resolution of the device and some manufacturers use 'aggressive' processing algorithms, using fuzzy logic, to try and read distorted images or damaged symbols. It is important to note high quality symbols are required not just to ensure good read rates with any scanner but also to protect against misreads from over-aggressive scanners.

#### **3.2.2.2** The ability to program the scanner

Many modern scanners can be fairly simply programmed to enable or disable features. The manufacturer guide will often allow the scanner to adjust characteristics such as:

- The symbologies that need to be read
- The communication protocol (e.g., using symbology identifiers)
- Reading of reverse / inverse reflectance ("black on white" or "white on black") images Manufacturers may also provide features to deal with the non-printable characters, for example the Group Separator, which is essential for decoding messages containing variable length data.

#### 3.2.2.3 Optics and sensors

As in the case of digital cameras, the image quality obtained depends on several factors. While important, the number of pixels is not the only factor. Indeed the sensor will have an ability to deal with a certain number of pixels and, in very broad terms, the larger the number of pixels the better the definition of the image will be. The scanner will also make use of lenses. The focal length will vary and some will be better at reading from a distance and others at reading close up. In very broad terms, reading very small barcodes is best done with scanner with a short focal distance, reading larger codes with a larger focal distance.

The depth of field is also an important factor. Manufacturers often show the various reading distances that the device can achieve based upon the X-dimension used.





Figure 3-2 Typical reading distance and the depth of a scanner

The figure above is an example of typical reading capabilities of a scanner showing the reading distance and the depth of field. However, critical factors also include the type of symbol, the exact X-dimension and the print quality of the symbol.

Where the scanner is in a fixed position, it should of course be positioned at a suitable distance from the products it has to read. For hand-held scanners, the operator can easily adjust the scanning distance to obtain a scan.

#### 3.3 Decoding

As highlighted above (see section <u>3.1</u>), scanning is in fact a two-step process. Decoding takes the scanned image and decodes the encoded data.

#### **3.3.1** The principles of decoding

The scanner can be programmed to recognise a GS1 DataMatrix symbol, thanks to its decoding system and the unique patterns (the Data Matrix finder patterns and the leading FNC1). This is a key security feature allowing the scanner to distinguish between data encoded according to the GS1 Application Identifiers rules and any other data. This offers system protection and allows GS1 Application Identifiers to be correctly interpreted.

Ideally the scanner then passes the decoded data using the Symbology Identifier (**]d2**) to the processing system. If FNC1 is not in the first position, the scanner will output a different Symbology Identifier. **]d2** indicates that the decoded data came from a GS1 DataMatrix symbol and can therefore be processed according to the GS1 Application Identifier rules.

]d2 is a system feature and is never directly encoded into the GS1 DataMatrix symbol.

#### 3.3.2 Transmission of data strings

The scanner does not normally contain any intelligence but simply transfers the string of characters read from the symbol to the Information System for further processing.

A typical example is below:



#### Figure 3-3 Symbology identifier example



The data transmitted to the application software for the first FNC1 (See section 2.2.1), is the symbology identifier **]d2** and for the second FNC1, when used as a separator character is <GS> Group-Separator. The above example would result in:

]d201034531200000111719112510ABCD1234<GS>2110

This string of data is then passed to the processing system or, in some more sophisticated scanner/decoder devices, the string of data is already interpreted according to the GS1 Application Identifier rules (see section 2.2.3)

0103453120000011; 17191125; 10ABCD1234; 2110

In other systems the data string may be transmitted in hexadecimal characters:

0000 5d 64 32 30 31 30 33 34 35 33 31 32 30 30 30 30 | ]d20103453120000 |

0010 30 31 31 31 37 31 39 31 31 32 35 31 30 41 42 43 | 0111719112510ABC |

0020 44 31 32 33 34 1d 32 31 31 30 0d 0a | D1234~2110~~

Finally in hexadecimal without spaces;

5d64323031303334353331323030303031313137313931313235313041424344313233341d3231 31300d0a

Please note that, separator character <GS> is transmitted as a "~" character in the second example above.

This choice is made at the very detailed processing level – and is normally processed totally within a black box type device.



## 4 Symbol marking techniques

This section provides an overview of the main technologies and the main processes for printing GS1 DataMatrix. It summarises their individual strengths and weaknesses for various applications. It does not aim to compare or promote a particular technology.

The focus is mainly on technologies that can be used on-demand: that is to say, systems that can encode dynamic information such as batch numbers or serial numbers. Therefore, it does not detail other conventional techniques such as flexographic or offset which are excellent for printing static information (e.g. product identification).

Please also bear in mind that the technologies and materials for printing and marking GS1 DataMatrix are developing fast. It is therefore advisable to consult your local GS1 member organisation and technical partners to take advantage of the latest developments.

#### 4.1 Basic software functions

Software of some type will be required to generate GS1 DataMatrix symbols. The software can format the data into the syntax required by the printing device. Software can be purchased that is integrated into the printing device or that is external and separate from it.

#### 4.1.1 Printing device independent software

In principle, this type of software can be used with any type of printing device or indeed several different ones simultaneously.

The concept is to generate information to be printed and transfer this to the printer either by:

- Sending the print-file message to the printer, or,
- Creating an image that can be reproduced

#### 4.1.2 Software embedded in the printing device

This type of software is characterised by a printing device having a dedicated internal logic that directly generates the GS1 DataMatrix symbol to be printed.

This is particularly useful when the data contained and/or size and form of the symbol to be printed vary from one product to the next. Indeed, the computing time can be minimised by using software integrated with the printing device by, for example, having the device generate a unique number for each product (e.g., a serial number).

#### 4.1.3 Selecting the right software

The exact choice of software will need to meet the individual business requirements.

In general terms the software must be capable of generating a GS1 DataMatrix symbol in full conformance to the ISO/IEC 16022 standard. Often a difficult area is the programming of FNC1 in the first position as each software supplier has (or has not) developed its own method to obtain the correct encoding in the form of codeword 232. It is worth ensuring the software has this feature. The software should also allow for special characters:

Many of the good software programmes provide a 'wizard' that helps to check and automate the encoding of data according to the GS1 standards (e.g., Application Identifiers, data formats, check digits, etc.).

#### 4.2 Symbol marking technologies

This section only focuses on technologies that can be used on-demand.

The symbol marking technologies most suited to printing GS1 DataMatrix are:

- Thermal transfer
- Inkjet



- Laser etch
- Direct part marking (dot-peening, engraving, etc.)

The exact choice will primarily be made in terms of the available support material and exact business requirement.

Particular attention is required when specifying the X-dimension and the ability of the print substrate to support it. The target size of the X-dimension is likely to be an important consideration in the choice of printing system.

#### 4.2.1 Thermal transfer

Thermal transfer printing is one of the most widely used technologies for printing on-demand barcode labels. The technology works through heat being transmitted onto a ribbon (a tape coated with specially designed ink) that then transfers the image to the label. Very good quality barcodes can be achieved when the labelling material and print ribbon are fully compatible.

The choice of ribbon to be used is therefore normally determined by the:

- Substrate its ability to absorb ink and its smoothness,
- Marking system print head configuration and the print speed

The normal print resolution for thermal transfer printers is between 4 and 24 dpmm (dots per millimetre), approximately 100 and 600 dpi (dots- per-inch).

A wide variety of thermal transfer ribbons are available and it is very important to match your ribbon selection to the printer. Print quality will also be influenced by heating energy, printing speed and pressure.

The quality of printed symbols must be checked at regular intervals. One of the main problem areas with thermal transfer printing is the risk of a 'print-head burnout' where one of the heating elements stops working so creating gaps.

#### 4.2.2 Inkjet

Inkjet is a printing process that does not require contact between the printer and the substrate. The technology works by propelling tiny drops of ink onto the substrate to create the symbol. There are two main categories of inkjet printer:

- Continuous Inkjet: A high-pressure pump creating a continuous stream of ink droplets that are then subjected to an electrostatic field. This results in a controlled, variable electrostatic charge that determines if the ink drop should be printed on the substrate or recycled (leaving a light area).
- Drops on Demand: Printers in this family only use drops of ink that are required to print. It
  is particularly suited to high resolution printing.

The print head needs to be close to the substrate (some products can print from a distant as far as 20 mm) and it is suitable for printing on a variety of media and substrates.

Inkjet printing usually prints edges in an irregular shape. This is caused by the absorbency of the substrate and by the irregular shape of the single dots. Good quality symbols are possible when printing on a suitable substrate, using a high resolution printer and fast drying ink. The inkjet printer should be kept within the operating parameters recommended by the manufacturer.

Special attention must be paid to the consistency of the speed with which the object to be printed passes the print head. Precision is required to ensure quality symbols.

Example: A GS1 DataMatrix printed using Continuous Inkjet:



#### Figure 4-1 Inkjet printed GS1 DataMatrix



## GTIN: 03453120000011

#### 4.2.3 Laser etch (Direct Part Marking - DPM)

Laser etch – or laser engraving – uses precisely controlled lasers to engrave or mark the barcode on the product. The high concentration of laser power burns or etches the symbol and this needs a computer using a series of mirrors and lenses to focus the laser. The process allows a product to be directly and permanently marked but is only suitable for "laserable" materials.

The power of the laser needs to be set based on the volume printing required as well as the speed of printing. The power must be adapted to substrates and commonly ranges from 10 to 100 watts.

**Example**: A GS1 DataMatrix printed using Laser:



Figure 4-2 GS1 DataMatrix Laser symbol

#### 4.2.4 Dot peen (Direct Part Marking - DPM)

The technology is used to directly mark the material and is particular suitable for solid materials (metals, plastics, wood, etc...). It can be used for all the information to be marked on the item (text, date, logo, etc.) as well as the GS1 DataMatrix symbol. A small head – normally made from a very strong material such as tungsten – is computer controlled to make a defined series of identical punch marks in the surface of the substrate. The depth of marking can be carefully controlled to ensure all indents are identical making this technique particular suited for printing GS1 DataMatrix directly on items made of metal or other material with very hard flat surfaces.

Example: A GS1 DataMatrix printed using Direct Part Marking:



Figure 4-3 GS1 DataMatrix dot-peen symbol



#### 4.3 Selecting the right symbol marking technology

The technology chosen for a given application should take into account the internal environment including factors such as substrate.

The table below gives an indication of the compatibility between the substrate (the material upon which the GS1 DataMatrix will be printed) and symbol marking technologies. In all cases, it is recommended to test and confirm that the technology will work in the real environment where it will be used. This testing should include all aspects of the technology including inks, varnishes, maintenance cycle, etc.

Substrate	Paper	Corrugated fibreboard	Glass	Plastic	Metal
Technology					
Laser Etch	For specific colours or specific finishing	For specific colours or specific finishing	Under certain conditions	If contrast can be achieved or specific finishing	Painted or oxidised
Thermal Transfer (on- demand)	Useful for adhesive labels	No	No	Plastic films	No
YAG/Fibre Laser	Coloured background or specific finishing	No	Yes	Yes	No
Ink Jet (on- demand)	Yes	No	No	No	No
Direct Part Marking	Film transfer	Film Transfer	No	Yes	Yes

#### Table 4-1 Substrate / Marking technology table

- Available space for printing: The physical size of the symbol and all related Human Readable Information must take into account the space available to print them. In general terms, larger symbols will have a better scanning and printing performance than smaller ones but many factors – including legally required safety information – will impact the space available for printing the barcode.
- Printing speed: When printing symbols on-line (e.g., as part of the production line process for items), the speed of the overall product line will have a big influence on the choice of technology selected.

The technology chosen will also be impacted by external factors such as:

Sector norms and conventions (e.g., healthcare, automotive, aeronautical, etc.): Many sectors have norms and conventions for the use of GS1 DataMatrix in terms of quality, symbol location, required data (both encoded and in Human Readable Interpretation). These industry norms should be considered when selecting the symbol marking technology.

For example, in the healthcare sector, the user community has agreed on a permissible X-dimension for small healthcare products (see <u>A</u>).

Customer requirements: As in all business transactions, the needs of the customer should be taken into account. Some customers may impose a set of specifications as a requirement for doing business. These specifications may favour one technology over others. For example, by setting an extremely high minimum quality verification threshold (see section <u>4.6</u>) the customer may in effect be imposing a given printing technology.



Within the open environment where GS1 standards are used, it is very important for all players to work to industry established standards. This creates a critical mass of particular usages and reduces overall costs as many competing technology providers work to meet the common requirements.

Regulatory requirements: In some highly regulated industries (such as healthcare or aerospace) and/or in some countries, regulations may be in place. The technology's ability to meet these regulatory requirements will then be a key consideration when making a purchase.

#### 4.4 General recommendations for symbol quality

Symbol quality is of great importance and should be included in any production quality control process. As a quick check the following should be confirmed with any technology supplier:

- Full compliance to the ISO/IEC 16022 standard
- The software is able to support GS1 Application Identifiers
- Data Matrix ECC 200 (not older, obsolete versions of Data Matrix) is supported
- The FNC1 is supported both as a start and separator character

As outlined earlier (see section <u>1.1</u>) the size of the GS1 DataMatrix symbol can vary. In general terms, larger X-dimension symbols will have a better scanning and printing performance than smaller ones but many factors (available space, amount of data encoded, etc.) will influence the size of symbol.

It is of critical importance for the final quality of the printed symbol that the ability of the printer to achieve the selected X-dimension.

Note: the print-head individual element size will determine which X-dimensions can and cannot be achieved. For more information please refer to *2D Barcode Verification Process Implementation Guideline*. The guideline is available at <u>www.gs1.org</u>.

#### 4.5 Colours and contrast

Contrast is the technical name for the difference between the dark and light areas in a barcode and, in particular, how the difference is seen by the scanner. It is imperative that the printing process ensures that the scanner can discriminate clearly between the dark areas and light areas of the symbol.

Contrast is heavily impacted by the colour and reflectance of the substrate used. When implementing GS1 DataMatrix it is therefore necessary to think about the colours used for both substrate and inks (if used).

These simple recommendations should help selecting a good colour combination and achieving good symbol contrast:

- Use of black (dark colours) and white (light colours) in printing is generally accepted as the best colour combination.
- It should be noted that one feature of GS1 DataMatrix is that the colours can be reversed: light modules on a dark background or dark modules on a light background. When using this reverse/inverse image feature scanner/imager capability to read reverse/inverse images must be checked.
- Dark areas should use solid dark colours (black, blue, or colours that contain a very high proportion of black).
- Light areas should use bright and reflective colours (white, yellow or red (note some scanners use a red light therefore red appears `white' to the scanner).
- Intermediate colours or hues those that appear neither light nor dark should not be used
- Certain substrate materials, particularly highly reflective metals, and highly reflective inks (e.g., gold or silver) should be avoided as the reflectance can 'blind' the scanner.

Some common contrast problems are caused by:



- A bad choice of colours for the dark and/or light areas.
- The use of a transparent background (known as 'Opacity')
- A 'blurring' of the dark colours into the light area.
- Excessive reflectance from very shiny or glossy surfaces.

#### 4.6 Verification of symbol (data and print quality)

This section highlights those parameters that can affect the overall symbol quality and how they can be checked or verified. It is important to stress that quality covers both:

- Conformance of the encoded data (e.g., correct use of GS1 Application Identifiers, Check Digits, etc.)
- Symbol print quality (e.g., according to ISO/IEC 15415).

Quality should not be seen as a simple check at the end of the process, but should be built into the development process with the appropriate conformance checks made at each stage. It is also important to verify that the final printed symbol meets the requirements of the appropriate application standard in terms of encoded data, print quality, symbol size, symbol location, etc.

#### 4.6.1 ISO/IEC 15415 Barcode print quality test specification-2D symbols

#### 4.6.1.1 Print quality testing methodology

(see the GS1 General Specifications – Barcode production and quality assurance)

ISO/IEC 15415 and the GS1 General Specifications define the methodology to test the print quality of printed GS1 DataMatrix symbols. This methodology is widely covered in *2D Barcode Verification Process Implementation Guideline*. It is available at <u>www.qs1.orq</u>.

Under this methodology the symbol grade is only meaningful if it is reported in conjunction with the illumination and aperture used expressed as: *grade/aperture/light/angle*<sup>1</sup>

Grade: is the overall symbol grade as defined in ISO/IEC 15415. It is a numeric grade (4 being the best and 0 the worst). ISO/IEC 15415 was based upon – and is fully compatible with – an ANSI verification methodology. One of the main differences is that ISO/IEC 15415 uses a numeric grading structure, expressed up to one decimal place, while ANSI used a scale of A to F. The conversion between the two grading systems is summarised as:



Aperture: is the aperture reference number defined in ISO/IEC 15416 (expressed in mils or thousandths of an inch)

Light: defines the illumination: a numeric value indicates the peak light wavelength in nanometres (for narrow band illumination); the alphabetic character W indicates that the symbol has been measured with broadband illumination ("white light") but for GS1 Applications 670 is the norm.

Light sources for barcode scanning applications normally fall into two areas:

Narrow band illumination in either the visible or the infra-red spectrum, or broadband illumination covering a large part of the visible spectrum, sometimes referred to as "white light" although it may

<sup>&</sup>lt;sup>1</sup> Angle: is an additional parameter defining the angle of incidence (relative to the plane of the symbol) of the illumination. It is only required in the overall symbol grade if is different from 45°. All GS1 Application Guidelines specify a 45° angle.



have a bias to a colour; a very few specialised applications may call for light sources of unusual characteristics such as ultra-violet for fluorescent symbols.

Multi-row barcode scanning almost always uses narrow band visible light, with light sources with a peak wavelength in the red part of the spectrum, between 620 and 700 nm. Infra-red scanning uses sources with peak wavelengths between 720 nm and 940 nm.

Two-dimensional matrix symbols are scanned under a variety of illumination conditions, with the most common being white light and, in a number of hand-held reading devices, the same visible red area of the spectrum as for linear and multi-row barcode symbols.

The most common light sources used for these purposes are:

Narrow band:

- Helium-neon laser (633 nm) (multi-row barcode symbols only).
- Light-emitting diode (near-monochromatic, at numerous visible and infra-red peak wavelengths).
- Solid-state laser diode (most usually 670 nm) (multi-row barcode symbols only).

Broadband:

- Incandescent lamp (nominally white light with a colour temperature in the range 2800 °K to 3200 °K).
- Fluorescent lighting (nominally white light with a colour temperature in the range of 3200 °K to 5500 °K).

**Example**: A print quality test carried out with an aperture of 10 mils, a light source of 660 nm and at an angle of 45° gave the grade of 3.0(B). The results should be expressed as:

## 3.0/10/660

#### 4.6.1.2 Parameters measured and their significance

ISO Symbol Grade: The overall ISO symbol grade is the most important parameter for communicating the print quality of a symbol. The scan grade is the lowest grade achieved for seven parameters which are Symbol Contrast, Modulation, Fixed Pattern Damage, Decode, Axial Nonuniformity, Grid Nonuniformity, and Unused Error Correction, with others specified for a given symbology or application. The overall ISO symbol grade is the arithmetic mean of the individual scan grades for a number of tested images of the symbol.

Parameter	Significance
Decode	This is the first step in the verification and applies the reference decode algorithm - the set of rules/steps for decoding the symbol defined in ISO/IEC 16022 - to the elements seen by the verifier. If a valid decode results, the decode parameter passes and is given grade 4, otherwise it fails (grade 0).
Symbol Contrast	The Symbol Contrast is the difference between the highest and the lowest reflectance values in the profile – in simple terms the difference between the dark and light areas (including the Quiet Zones) as seen by the scanner. Symbol contrast is graded on a scale of 4 to 0. <b>Figure 4-5</b> A symbol with very poor Symbol Contrast.


Parameter	Significance
Axial Nonuniformity	Axial Nonuniformity measures and grades (on the 4 to 0 scale) the spacing of the mapping centres and tests for uneven scaling of the symbol along the X or Y axis Figure 4-6 An Axial Nonuniformity problem
Modulation	Modulation is related to Symbol Contrast in the sense that it measures the consistency of the reflectance of dark to light areas throughout the symbol Figure 4-7A Symbol with poor Modulation caused by irregular dark areas.
Grid Nonuniformity	Grid Nonuniformity measures and grades (on the 4 to 0 scale) the largest vector deviation of the grid intersections, determined by the theoretical position prescribed by the reference decode algorithm and the actual measured result. Figure 4-8 A Grid Nonuniformity problem
Unused Error Correction	Measures and grades (on the 4 to 0 scale) the reading safety margin that error correction provides. Unused error correction indicates the amount of available Error Correction in a symbol. Error Correction is a method of reconstructing data that is lost via damage, erasure of the symbol, or poor printing. 100% unused Error Correction is the ideal case.



Parameter	Significance
Fixed Pattern Damage	<ul> <li>Measures and grades (on the 4 to 0 scale) any damage to the Finder Pattern, Quiet Zone and Clock Track in the symbol. The example below highlights the areas of the symbol that are tested under these parameters by showing the various defects:</li> <li>Figure 4-9 Fixed Pattern Damage</li> <li>Ifigure 4-9 Fixed Pattern Damage</li> <li>Ifigure 4-9 Fixed Pattern Damage</li> <li>If example shows defects in the L-Shaped Finder Pattern and in the Clock Track:</li> <li>I.1: Irregular L-Shaped Finder Pattern on the left</li> <li>I: Irregular L-Shaped Finder Pattern on the lower edge</li> <li>QZL1: Note: the issue of L1, also means the Quiet Zone on the left is irregular</li> <li>QCTASA (Overall Clock Track and Adjacent Solid Area): Issues in the Clock Track (the dotted line opposite the L-Shaped Finder Pattern) can take one of three forms:</li> <li>CTR (Clock Track Regularity test): A pass/fail test on the elements that make up the Clock Track;</li> <li>SFP (Solid Fixed Pattern test): A graded measure (on a 4 to 0 scale) of the dark and light areas of the Clock Track</li> <li>TR (Transition Ratio). A graded measure (on a 4 to 0 scale) of the sequencing of the dark and light areas of the Clock Track</li> <li>Average Grade: In addition to the assessment of the individual tests above (which are very useful for symbol diagnostics), an Average Grade can be reported that takes into account the cumulative effect of any damage. It is calculated using the results of L1, L2, QZL1, QZL2 and OCTASA together. This is particularly useful as, when combined, many small errors may cause scanning problems.</li> </ul>
Print growth	Print growth is not a graded parameter but is a very informative measure for the purposes of process control. It is a measure of how symbols may have grown or shrunk from target size. If the growth or shrinkage is too large, then scanning performance will be impacted.         Print growth may be measured and evaluated independently on both the X and Y axis to assess both horizontal and vertical growth. The two examples below show:         Figure 4-10 Too much Print Growth         Image: Figure 4-11 Print Loss

## 4.6.2 Other print quality standards

ISO/IEC 15415 and ISO/IEC 29158:2011 are for measuring the print quality of GS1 DataMatrix symbols. However, there are number of other emerging quality standards for GS1 DataMatrix including, AS9132 and ISO/TR 29158. They were developed primarily for direct part marking (DPM) and their main features are described below for information purposes only.



#### 4.6.2.1 AS 9132

AS (American Standard) 9132 deals with general print quality for part marking. It is referenced by a number of aeronautical companies that require 2D symbols to be used by their suppliers. The main print quality features are:





Elongation	Figure 4-15 Elongation
	Fongation of individual cells may occur due to a variety of printing conditions. Elongation is measured as a deviation from the perfect circle. The standard allows for a 20% difference between D and d.
Number of dots per element	Figure 4-16 Number of dots per element 10 pixels For many printing technologies each X-dimension is made up of a number of dots. When viewed under magnification, these dots (or pixels) can be measured. The example above shows four GS1 DataMatrix Cells each made up of 10x10 pixels.
	example above shows four GST DataMatrix Cells each made up of 10x10 pixels.
Quiet Zone	Figure 4-17 Quiet Zones of a GS1 DataMatrix
	A Quiet Zone with a minimum width of one module (X) is required all around the symbol as shown in the image above. If the Quiet Zone is less than one module (X) it will fail ISO/IEC 15415 verification. It can also be measured for diagnostic purposes. The contrast is also a parameter of ISO/IEC 15415 verification, (see section <u>4.5</u> )

#### 4.6.2.2 ISO/IEC TR 29158 Direct Part Mark quality guideline

AIM Global (the Association for Automatic Identification and Mobility) has acknowledged that ISO/IEC 15415 Print Quality Specifications are not sufficient for measuring the quality of GS1 DataMatrix symbols printed using Direct Part Marking (DPM) technologies. The AIM Global Technical Symbology Committee has developed an AIM Global Document and submitted to ISO/IEC TR 29158, Direct Part Mark (DPM), Quality Guideline for this purpose. The guideline is available at the ISO Global Website <u>www.iso.org</u>.

Apart from the other parameters listed above, key parameters within the ISO/IEC TR 29158 document include Modulation within a cell and Minimum Reflectance ( $\geq$  5 %).



# Modulation within a cell

Figure 4-18 Modulation within a Cell



As the name suggests, modulation within a cell requires uniformity of the reflectance of light and dark areas within one cell of the symbol. The example above highlights the type of printing problem that can lead to modulation within a cell.

## 4.6.3 **Possible causes of low grade**

Parameter	Possible causes of low grades	Example
Symbol Contrast	Low background or light area reflectance, due to:	Figure 4-20 Symbol Contrast
	<ul> <li>Poor choice of substrate (e.g., dark background)</li> </ul>	
	<ul> <li>Glossy laminate or overwrap</li> <li>High dark module reflectance, due to:</li> </ul>	1425246
	<ul> <li>Unsuitable formulation or colour of ink</li> </ul>	
	<ul> <li>Insufficient ink coverage (e.g., on-over- lapping dots)</li> <li>Inappropriate angle of illumination particularly for symbols printed using Direct Part Marking (DPM).</li> </ul>	
Decode	Many factors can cause the symbol to fail to decode. A major failure in any of the tested parameters or software errors in the printing system should be checked first.	
Unused Error	Physical damage due to:	
Correction	Scuffing	
	Tearing	
	<ul> <li>Deletions</li> </ul>	
	Bit errors due to print defects	
	Excessive print Growth	
	Local deformation Misplaced Modules	



Parameter	Possible causes of low grades	Example
Modulation	Print growth or loss Verifier aperture set too large for X- dimension used Defects - print spots or voids (see defects) Irregular substrate reflectance Variation in ink coverage Show-through (often caused by printing on a transparent background) Transparency	Figure 4-21 Print Growth Figure 4-22 Print Loss
Fixed Pattern Damage	Spots of ink or other dark marks on the background Voids in printed areas Faulty print head elements or other print setup fault. Verifier aperture set too large for X- dimension used	Figure 4-23 Fixed Pattern Damage
Axial Nonuniformity	Mismatch of transport speed in printing with symbol dimensions Printing software errors Verifier axis not perpendicular to symbol plane	Figure 4-24 Axial Nonuniformity
Grid Nonuniformity	Problems with the speed during printing (accelerations, decelerations, vibration, or slippage) Variable distance between the print head and the print surface Verifier axis not perpendicular to symbol plane	Figure 4-25 Grid Nonuniformity
Print Growth/Loss	Largely dependent upon the exact print process used. Factors may include: Ink absorbency of the substrate Dot size (Inkjet and DPM) Incorrect thermal print head settings	

### 4.6.4 The verification process

The 2D Barcode Verification Process Implementation Guideline explains the practical implications of verification GS1 2D barcodes. It is available at <u>www.gs1.org</u>.

The primary function of any barcode is carrying data from the point at which it is originated to the point at which the data has to be captured. Verification aims to check that the symbol is able to fulfil this function by ensuring compliance with the appropriate standard.



To be reliable, the verification process must be:

- Fully compliant and in accordance with standard ISO/IEC15426-2,
- Performed by a qualified operator.
- Cover both the print quality aspects (explained below) and the data content requirements explained by application guidelines (see section <u>2</u>).

For each of the parameters tested (see section 4.6.1.2) the lowest achieved grade is taken and this is the Overall Symbol Grade. The verification should be performed under laboratory conditions using the required aperture, light and angle as described in ISO/IEC 15415.

During the verification testing, the intended area of application should be considered (for example, for healthcare applications a certain data content may be required

**Note**: It is important not to confuse scanning with verification. At best, scanning a symbol can be used as a "go/no-go" test of whether a symbol can be read by that particular scanner.

Verification provides diagnostic information about any problem with a symbol and provides a high level of confidence that the symbol will scan in an open environment within its intended area of application. However, it should be noted that some symbols that fail verification will still be readable by some barcode readers.

To control print quality during production, three main approaches can be taken:

- 1. Integrate barcode verification as part of the normal quality control procedure
- 2. Perform online scanning of all symbols to ensure readability
- 3. Perform sample scanning at periodic intervals during production

These three approaches are complementary and should be implemented according to overall quality requirements of the production line. However, there may be practical difficulties in scanning each of the printed symbols given the speed of some production lines and testing itself should also be used to ensure systems are maintained at the correct level by, for example, picking up a trend toward less high quality symbols.

Within the recommendations of the standards it is possible to check print quality online with one check from a fixed position.

The results should be recorded and reported in the same way as a for a general verification report (see section 4.6.1.1):

#### grade/aperture/light/angle

The size of the measuring aperture affects whether voids in the symbol will be ignored during the verification process. Therefore, the measuring aperture must be selected with reference to the range of nominal module size and expected scanning environment. An aperture that is too small will detect unintentional voids, or gaps between elements of the symbol that would lead to low grades or undecodable symbols. On the other hand, a measuring aperture that is too large will blur individual modules, resulting in low modulation, and may prevent the symbol from being decoded.

In general, the larger the aperture, the larger the acceptable size of spots and voids. Conversely, the smaller the aperture, the smaller the acceptable module size that can be read. Therefore, a successful application specification must select a measuring aperture that will predict the readability of both the largest and smallest module size symbols. The ideal theoretical size for the measuring aperture is between 40% and 80% of the maximum size of the X-dimension used in the symbol. However, as stated before, any application guideline will have to be taken into account. In order to demonstrate aperture, the aperture used to verify the symbol is represented by a yellow dot in the figure below.



#### Figure 4-26 Aperture on a symbol



It is also useful to note on the verification report:

- An indication of the verifier used (name and serial number)
- The date of the test and the name of the operator who performed it
- Comments on the substrate and, if possible, the print process used (in case either is changed at some point in the future, thus invalidating the test report)

#### 4.6.5 Selecting a verifier

Firstly, compliance with the appropriate standards is a pre-requisite. The four standards GS1 General Specifications, ISO/IEC15426-2, ISO/IEC15415 and ISO/IEC 16022 should be supported by the verifier.

Secondly, the verifier must be capable of consistent performance (e.g., the same symbol will yield the same result when tested). Initially, this is achieved by the factory set-up of the verifier. However, to maintain this consistency the verifier should be calibrated using a GS1 Calibration Conformance Test Card in line with the manufacturer's instructions.

Other features that should be considered, include:

- The pixel size of the camera used should be suitable for the size of the GS1 DataMatrix symbols being tested
- What wavelength light source does it use? The GS1 General Specifications require 670 nm.
- What measuring aperture(s) is/are available?
- What form of output is available (e.g., LEDs, display, printout of details, PC connection, etc.)?
- Fuzzy logic should be avoided in verifiers. While some aggressive scanners use fuzzy logic to try and read poor quality barcodes, such features should be avoided in verification equipment whose goal is to help improve barcode quality.
- The manufacturer's control / re-calibration requirements.

Independent of the verification equipment used, extensive studies have shown that operators of verifiers require proper training. Additionally, in order to achieve consistent results, verifiers require regular calibration by using the GS1 Calibrated Conformance Standard Test Card for GS1 DataMatrix from GS1 US, in accordance with manufacturer recommendations.

#### 4.7 Recommendations when developing Application Standards

Any Application Standard for GS1 DataMatrix must set the clear, achievable and independently measurable print quality requirements. Users of the Application Standard are likely to make their choice of printing technology based upon the print quality requirements stated.



In terms of print quality an Application Standard must, at minimum, specify:

- The methodology for measuring the print quality. For GS1 ISO/IEC 15415 is considered the defacto methodology
- A minimum acceptable Print Quality Grade as per the methodology used. For example, grade 1.5 according to ISO/IEC 15415
- Depending upon the exact Application Standards, it may include:
  - Symbol location guidelines
  - Minimum and Maximum X-dimensions
  - The print process used to create the symbol (e.g., printed labels may create a perfect symbol but will not be suitable for products that require heat-sterilisation)

Please refer to the current version of GS1 General Specifications for more information on application standards.



# A Annexes

## A.1 GS1 size recommendations for symbols using GS1 DataMatrix

Some extracts of the GS1 General Specifications (version 16) are given below.

*Please refer to the current version of GS1 General Specifications System Symbol Specification Tables 6, 7, 8, 9, 10 and 11 for the normative specification.* 

# A.1.1 Symbol specification table 6 - Regulated healthcare non-retail consumer trade items not scanned in general distribution

Symbol(s) specified	X-dimension mm (inches)			Minimum symbol height for given X mm (inches)			Quiet	Zone	Minimum quality specification
	Minimum	Target	Maximum	For minimum X- dimension	For target X- dimension	For maximum X- dimension	Left	Right	
GS1 DataMatrix (ECC 200) (*)	0.254 (0.0100")	0.380 (0.0150")	0.495 (0.0195")	Height is determined by X- dimension and data that is encoded			1X on all	four sides	1.5/08/660

### A.1.2 Symbol specification table 7 - Direct part marking

Symbol(s) specified	X-dimension mm (inches) Note 1 Note 6		Minimum symbol height for given X mm (inches)	Quiet Zone	Minimum quality specification		
	Minimum	Target	Maximum	For minimum, Target and Maximum X-dimension			
GS1 DataMatrix	0.254 (0.0100″)	0.300 (0.0118″)	0.615 (0.0242″)	Height is determined by X- dimension and data that is encoded	1X on all four sides	1.5/06/660 Note 3	For direct marking of items other than medical devices
GS1 DataMatrix Ink Based direct part marking	0.254 (0.0100″)	0.300 (0.0118″)	0.615 (0.0242″)	Height is determined by X- dimension and data that is encoded	1X on all four sides	1.5/08/660 <b>Note 3</b>	For direct marking of medical devices such as small medical / surgical instruments
GS1 DataMatrix direct part marking - A <b>Note 2</b>	0.100 (0.0039″)	0.200 (0.0079″)	0.300 (0.0118″)	Height is determined by X- dimension and data that is encoded	1X on all four sides	DPM1.5/04- 12/650/(45Q  30Q 30T 30 S 90) <b>Note 5</b>	For direct marking of medical devices such as small medical / surgical instruments
GS1 DataMatrix direct part marking - B <b>Note 2</b>	0.200 (0.0079")	0.300 (0.0118″)	0.495 (0.0195")	Height is determined by X- dimension and data that is encoded	1X on all four sides	DPM1.5/08- 20/650/(45Q  30Q 30T 30 S 90) <b>Note 5</b>	For direct marking of small medical / surgical instruments

These notes have been taken from the GS1 General specifications. Please refer to the current version of GS1 General Specifications System Symbol Specification Table 7 for the normative specification.

**Note:** The largest X-dimension in a given range that will allow a symbol with the needed data content to fit within the available marking area should be used to maximise marking and reading performance (depth of field, tolerance to curvature, etc.).

The angle is an additional parameter defining the angle of incidence (relative to the plane of the symbol) of the illumination for direct part marking verification. It SHALL be included in the overall symbol grade when the angle of incidence is other than 45 degrees. Its absence



indicates that the angle of incidence is 45 degrees. See ISO/IEC 15415 and ISO/IEC TR 29158 (AIM DPM).

In small instrument marking, mixed marking technologies used within the same scanning environment should be avoided to ensure highest reading performance. Laser etching is recommended for small instrument marking.

**Note 1:** Optical effects in the image capture process require that label based GS1 DataMatrix and GS1 QR Code symbols be printed at approximately 1.5 times the equivalent X-dimension allowed for linear symbols in the same application.



**Note 2:** There are two basic types of non ink based direct part marks, those with "connected modules" in the "L" shaped finder pattern (GS1 DataMatrix direct part marking – A) created by DPM marking technologies such as laser or chemical etching and those with "non connected modules" in the "L" shaped finder pattern (GS1 DataMatrix direct part marking – B) created by DPM marking technologies such as dot peen. Due to the marking technologies and characteristics of reading they each have varied ranges of X-dimensions and different quality criteria recommended and may require different reading equipment. GS1 DataMatrix – A is suggested for marking of medical devices such as small medical / surgical instruments. The Minimum X-dimension of 0, 100mm is based upon the specific peed.

surgical instruments. The Minimum X-dimension of 0.100mm is based upon the specific need for permanence in direct marking of small medical instruments which have limited marking area available on the instrument with a target useable area of 2.5mm x 2.5mm and a data content of GTIN (AI 01) plus serial number (AI 21).

**Note 3:** The effective aperture for GS1 DataMatrix and GS1 QR Code quality measurements SHOULD be taken at 80 percent of the minimum X-dimension allowed for the application. For direct part marking - A this would equate to an aperture of 3; for direct part marking – B this would equate to an aperture of 6 and for general healthcare label printing, an aperture of 8. See *ISO/IEC 15415* and *ISO/IEC TR 29158*.

**Note 4:** In practical application, where very small symbol sizes are needed, it may be necessary to work with GS1 DataMatrix module X-dimensions smaller than those suggested. Where dimensional restrictions prohibit the application of a full size code, reduced X-dimension AIDC marking is encouraged to facilitate information capture. It should be noted that these practices may limit the symbol effectiveness, including but not limited to:

- the effect of smaller X-dimensions on reading performance,
- the need for, and limited availability of, special scanners/imagers for reading,
- special processes for marking,
- the overall cost considerations.

These smaller X-dimensions should therefore only be used internally or by mutual agreement between trading partners

**Note 5:** Any "Type A" mark that meets the grade requirements under the quality techniques specified in ISO/IEC 15415 is considered acceptable. If the letters "DPM" precede the grade it indicates that the grade was obtained by following ISO/IEC TR 29158 (AIM DPM) and not ISO/IEC 15415 whether "Type A" or "Type B".



# A.1.3 Symbol specification table 8 - Trade items scanned in retail pharmacy and general distribution or non-retail pharmacy and general distribution

Symbol(s) specified	X-dimension mm (inches)			Minimum symbol height for given X mm (inches)			Quiet	Minimum quality specification	
	Minimum	Target	Maximum	For minimum X- dimension			Left	Right	
GS1 DataMatrix (ECC 200)(*)	0.750 (0.0300")	0.750 (0.0300")	1.520 (0.0600")	Height is dete and data that	ermined by X-o	dimension	1X on all fou	r sides	1.5/20/660

# A.1.4 Symbol specification table 9 - GS1 keys GDTI, GRAI, GIAI and GLN

Symbol(s) specified	X-dimensions mm(inches)			Minimum symbol height for given X mm(inches			Quiet Zone		Minimum quality specification
	Minimum	Target	Maximum	For minimum X- dimension	For target X- dimension	For maximum X- dimension	Left	Right	
GS1 DataMatrix (ECC 200)(*)	0.380 (0.0150")	0.380 (0.015 0")	0.495 (0.0195")	Height is determined by X- dimension and data that is encoded 1X on all four sides			1.5/08/660		
	K-dimension ted at 1.5 ti								rix symbol be nbols.



**Note**: For location marking barcodes may be printed at a higher maximum X-dimension at 1.520 mm (0.00600 inches)

# A.1.5 Symbol specification table 10 – Regulated healthcare retail consumer trade items not scanned in general distribution

Symbol(s) specified		X-dimension mm (inches)			Minimum symbol height for given X mm (inches)			et Zone	Minimum quality specification
	Minimum (*)	Target	Maximum	For minimum X- dimension			Left	Right	
GS1 DataMatrix (ECC 200)(*)	0.396 (0.0156")	0.495 (0.0195")	0.990 (0.0390")	Height is determined by X- dimension and data that is encoded			1X on all fo	ur sides	1.5/08/660
	(*) 2D X-dimension - Optical effects in the image capture process require that the GS1 DataMatrix symbol be printed at 1.5 times the equivalent printing X-dimension allowed for linear or Composite symbols.								



Symbol(s) specified	X-dimensions mm(inches)			Minimum symbol height for given X mm(inches			Quiet	: Zone	Minimum quality specification
	Minimum	Target	Maximum	For minimum X- dimension	For target X- dimension	For maximum X- dimension	Left	Right	
GS1 DataMatrix (ECC 200)(*)	0.254 (0.0100")0.380 (0.0150")0.495 (0.0195")Height is determined by X- dimension and data that is encoded1X on all four sides1.5/08/6					1.5/08/660			
(*) 2D X-dimension - Optical effects in the image capture process require that the GS1 DataMatrix symbol be printed at 1.5 times the equivalent printing X-dimension allowed for linear or Composite symbols.									

### A.1.6 Symbol specification table 11 – GS1 GSRNs

# A.2 The International Standard ISO/IEC 646 for representation of each character

Graphic Symbol	Name	Coded Representation	Graphic Symbol	Name	Coded Representation
!	Exclamation mark	2/1	М	Capital letter M	4/13
н	Quotation mark	2/2	N	Capital letter N	4/14
%	Percent sign	2/5	0	Capital letter O	4/15
&	Ampersand	2/6	Р	Capital letter P	5/0
I	Apostrophe	2/7	0	Capital letter Q	5/1
(	Left parenthesis	2/8	R	Capital letter R	5/2
)	Right parenthesis	2/9	S	Capital letter S	5/3
*	Asterisk	2/10	Т	Capital letter T	5/4
+	Plus sign	2/11	U	Capital letter U	5/5
,	Comma	2/12	V	Capital letter V	5/6
-	Hyphen/Minus	2/13	W	Capital letter W	5/7
	Full stop	2/14	х	Capital letter X	5/8
/	Solidus	2/15	Y	Capital letter Y	5/9
0	Digit zero	3/0	Z	Capital letter Z	5/10
1	Digit one	3/1	_	Low line	5/15
2	Digit two	3/2	а	Small letter a	6/1
3	Digit three	3/3	b	Small letter b	6/2
4	Digit four	3/4	с	Small letter c	6/3
5	Digit five	3/5	d	Small letter d	6/4
6	Digit six	3/6	е	Small letter e	6/5
7	Digit seven	3/7	f	Small letter f	6/6
8	Digit eight	3/8	g	Small letter g	6/7
9	Digit nine	3/9	h	Small letter h	6/8
:	Colon	3/10	i	Small letter i	6/9
;	Semicolon	3/11	j	Small letter j	6/10
<	Less-than sign	3/12	k	Small letter k	6/11
=	Equals sign	3/13	1	Small letter l	6/12





Graphic Symbol	Name	Coded Representation	Graphic Symbol	Name	Coded Representation
>	Greater-than sign	3/14	m	Small letter m	6/13
?	Question mark	3/15	n	Small letter n	6/14
А	Capital letter A	4/1	0	Small letter o	6/15
В	Capital letter B	4/2	р	Small letter p	7/0
С	Capital letter C	4/3	q	Small letter q	7/1
D	Capital letter D	4/4	r	Small letter r	7/2
E	Capital letter E	4/5	S	Small letter s	7/3
F	Capital letter F	4/6	t	Small letter t	7/4
G	Capital letter G	4/7	u	Small letter u	7/5
н	Capital letter H	4/8	v	Small letter v	7/6
Ι	Capital letter I	4/9	w	Small letter w	7/7



## A.3 The Extended ASCII Codes

Dec	Hex	Char	Dec	Нех	Char	Dec	Hex	Char	Dec	Нех	Char
128	80	Ç	160	AO	á	192	CO	L	224	EO	α
129	81	ü	161	A1	í	193	C1	Ŧ	225	E1	ß
130	82	é	162	A2	ó	194	C2	т	226	E2	Г
131	83	â	163	A3	ú	195	C3	F	227	E3	п
132	84	ä	164	A4	ñ	196	C4	-	228	E4	Σ
133	85	à	165	A5	Ñ	197	C5	+	229	E5	σ
134	86	å	166	A6	2	198	C6	F	230	Ε6	μ
135	87	ç	167	A7	•	199	C7	┠	231	E7	τ
136	88	ê	168	A8	č.	200	C8	L	232	E8	$\Phi$
137	89	ë	169	A9	-	201	С9	F	233	E9	۲
138	8A	è	170	AA	-	202	CA	ᆂ	234	EA	Ω
139	8B	ï	171	AB	₩2	203	СВ	π	235	EΒ	δ
140	8C	î	172	AC	4	204	CC	F	236	EC	œ
141	8 D	ì	173	AD	i	205	CD	=	237	ED	ø
142	8 E	Ä	174	AE	«	206	CE	÷	238	ΕE	ε
143	8 F	Å	175	AF	»	207	CF	⊥	239	EF	Π
144	90	É	176	BO		208	DO	ш	240	FO	=
145	91	æ	177	B1		209	D1	Ŧ	241	F1	±
146	92	Æ	178	B2		210	D2	π	242	F2	≥
147	93	ô	179	В3	1	211	D3	L	243	F3	≤
148	94	ö	180	В4	-	212	D4	F	244	F4	ſ
149	95	ò	181	B5	4	213	D5	F	245	F5	J
150	96	û	182	B6	-11	214	D6	г	246	F6	÷
151	97	ù	183	B7	п	215	D7	₽	247	F7	~
152	98	Ϋ́	184	В8	7	216	D8	ŧ	248	F8	•
153	99	ö	185	В9		217	D9	Г	249	F9	•
154	9A	Ü	186	BA	I	218	DA	г	250	FA	•
155	9B	¢	187	вв	71	219	DB		251	FB	√.
156	9C	£	188	BC	1	220	DC		252	FC	ъ
157	9D	¥	189	BD	ш	221	DD	Ī.	253	FD	z
158	9E	R.	190	BE	Ч	222	DE	1	254	FE	•
159	9F	f	191	BF	1	223	DF	•	255	FF	



Dec	Hex	Char	Dec	Hex	Char	Dec	Hex	Char	Dec	Нех	Char
0	00	Null	32	20	Space	64	40	0	96	60	•
1	01	Start of heading	33	21	1	65	41	A	97	61	a
2	02	Start of text	34	22		66	42	в	98	62	b
3	03	End of text	35	23	#	67	43	С	99	63	с
4	04	End of transmit	36	24	Ş	68	44	D	100	64	d
5	05	Enquiry	37	25	÷	69	45	Е	101	65	e
6	06	Acknowledge	38	26	£	70	46	F	102	66	f
7	07	Audible bell	39	27	1	71	47	G	103	67	a
8	08	Backspace	40	28	(	72	48	н	104	68	h
9	09	Horizontal tab	41	29	)	73	49	I	105	69	i
10	OA	Line feed	42	2 <b>A</b>	*	74	4A	J	106	6A	Ċ
11	OB	Vertical tab	43	2 B	+	75	4B	К	107	6B	k
12	OC	Form feed	44	2 C	,	76	4C	L	108	6C	1
13	OD	Carriage return	45	2 D	-	77	4D	M	109	6D	m
14	OE	Shift out	46	2 <b>E</b>		78	4E	Ν	110	6E	n
15	OF	Shift in	47	2 <b>F</b>	1	79	4F	0	111	6F	0
16	10	Data link escape	48	30	0	80	50	Р	112	70	р
17	11	Device control 1	49	31	1	81	51	Q	113	71	q
18	12	Device control 2	50	32	2	82	52	R	114	72	r
19	13	Device control 3	51	33	3	83	53	S	115	73	s
20	14	Device control 4	52	34	4	84	54	Т	116	74	t
21	15	Neg. acknowledge	53	35	5	85	55	U	117	75	u
22	16	Synchronous idle	54	36	6	86	56	v	118	76	v
23	17	End trans, block	55	37	7	87	57	ប	119	77	W
24	18	Cancel	56	38	8	88	58	x	120	78	х
25	19	End of medium	57	39	9	89	59	Y	121	79	У
26	1A	Substitution	58	ЗA	:	90	5A	Z	122	7A	z
27	1B	Escape	59	3 B	;	91	5B	[	123	7B	{
28	1C	File separator	60	ЗC	<	92	5C	١	124	7C	I I
29	1D	Group separator	61	ЗD	-	93	5D	]	125	7D	}
30	1E	Record separator	62	3 E	>	94	5E	~	126	7E	~
31	1F	Unit separator	63	ЗF	?	95	5F		127	7F	

# **Binary representation**

Worked example of conversion for decimal to binary:

204 (decimal) =  $1x2^7+1x2^6+0x2^5+0x2^4+1x2^3+1x2^2+0x2^1+0x2^0$ 



#### GS1 DataMatrix Guideline

Dec	Нех	Oct	Binary	Dec	Нех	Oct	Binary	Dec	Hex	Oct	Binary	Dec	Hex	Oct	Binary
0	0	000	00000000	16	10	020	00010000	32	20	040	00100000	48	30	060	00110000
1	1	001	00000001	17	11	021	00010001	33	21	041	00100001	49	31	061	00110001
2	2	002	00000010	18	12	022	00010010	34	22	042	00100010	50	32	062	00110010
3	3	003	00000011	19	13	023	00010011	35	23	043	00100011	51	33	063	00110011
4	4	004	00000100	20	14	024	00010100	36	24	044	00100100	52	34	064	00110100
5	5	005	00000101	21	15	025	00010101	37	25	045	00100101	53	35	065	00110101
6 7	6 7	006 007	00000110 00000111	22 23	16 17	026 027	00010110	38 39	26 27	046 047	00100110	54 55	36 37	066 067	00110110
8	8	010	00001000	23	18	027	00010111 00011000	39 40	28	047	00100111 00101000	56	38	070	00110111 00111000
9	9	011	00001000	25	19	031	00011000	40	29	051	00101000	57	39	071	00111000
10	Ă	012	00001010	26	1A	032	00011010	42	2A	052	00101010	58	3A	072	00111010
11	в	013	00001011	27	1B	033	00011011	43	2B	053	00101011	59	3B	073	00111011
12	С	014	00001100	28	1C	034	00011100	44	2C	054	00101100	60	3C	074	00111100
13	D	015	00001101	29	1D	035	00011101	45	2D	055	00101101	61	3D	075	00111101
14	E	016	00001110	30	1E	036	00011110	46	2E	056	00101110	62	3E	076	00111110
15	F	017	00001111	31	1F	037	00011111	47	2F	057	00101111	63	3F	077	00111111
Dec	Нех	Oct	Binary												
Dec	пех	OCI	,	Dec	пех	OCI	Dinary	Dec	пех	Out	Dinary	Dec		Out	Dinary
64	40	100	0100000	80	50	120	01010000	96	60	140	01100000	112	70	160	01110000
65	41	101	01000001	81	51	121	01010001	97	61	141	01100001	113	71	161	01110001
66	42	102	01000010	82	52	122	01010010	98	62	142	01100010	114	72	162	01110010
67 68	43 44	103 104	01000011 01000100	83 84	53 54	123 124	01010011 01010100	99 100	63 64	143 144	01100011 01100100	115 116	73 74	163 164	01110011 01110100
69	44	104	01000100	85	55	124	01010100	100	65	144	01100100	117	75	165	01110100
70	46	106	01000110	86	56	126	01010110	102	66	146	01100110	118	76	166	01110110
71	47	107	01000111	87	57	127	01010111	103	67	147	01100111	119	77	167	01110111
72	48	110	01001000	88	58	130	01011000	104	68	150	01101000	120	78	170	01111000
73	49	111	01001001	89	59	131	01011001	105	69	151	01101001	121	79	171	01111001
74	4A	112	01001010	90	5A	132	01011010	106	6A	152	01101010	122	7A	172	01111010
75	4B	113	01001011	91	5B	133	01011011	107	6B	153	01101011	123	7B	173	01111011
76	4C	114	01001100	92	5C	134	01011100	108	6C	154	01101100	124	7C	174	01111100
77	4D	115	01001101	93	5D	135	01011101	109	6D	155	01101101	125	7D	175	01111101
78	4E	116	01001110	94	5E	136	01011110	110	6E	156	01101110	126	7E	176	01111110
79	4F	117	01001111	95	5F	137	01011111	111	6F	157	01101111	127	7F	177	01111111
Dec	Нех	Oct	Binary	Dec	Нех	Oct	Binary	Dec	Hex	Oct	Binary	Dec	Hex	Oct	Binary
128	80	200	10000000	144	90	220	10010000	160	A0	240	10100000	176	B0	260	10110000
129	81	201	10000001	145	91	221	10010001	161	A1	241	10100001	177	B1	261	10110001
130	82	202	10000010	146	92	222	10010010	162	A2	242	10100010	178	B2	262	10110010
131	83	203	10000011	147	93	223	10010011	163	A3	243	10100011	179	B3	263	10110011
132	84	204	10000100	148	94	224	10010100	164	A4	244	10100100	180	B4	264	10110100
133	85	205	10000101	149	95	225	10010101	165	A5	245	10100101	181	B5	265	10110101
134	86	206	10000110	150	96	226	10010110	166	A6	246	10100110	182	B6	266	10110110
135	87	207	10000111	151	97	227	10010111	167	A7	247	10100111	183	B7	267	10110111
136	88	210	10001000	152	98	230	10011000	168	A8	250	10101000	184	B8	270	10111000
137	89	211	10001001	153	99	231	10011001	169	A9	251	10101001	185	B9	271	10111001
138 139	8A 8B	212 213	10001010 10001011	154 155	9A 9B	232 233	10011010 10011011	170 171	AA AB	252 253	10101010 10101011	186 187	BA BB	272 273	10111010 10111011
139	8B 8C	213	10001011	155	9B 9C	233	10011011	172	AB	253	10101011	187	BC	273	10111100
140	8D	214	10001100	150	90 9D	234	10011100	172	AD	254	10101100	189	BD	274	10111100
142	8E	216	10001110	158	9E	236	10011110	174	AE	256	10101110	190	BE	276	10111110
143	8F	217	10001111	159	9F	237	10011111	175	AF	257	10101111	191	BF	277	10111111



#### GS1 DataMatrix Guideline

Dec	Нех	Oct	Binary	Dec	Нех	Oct	Binary	Dec	Нех	Oct	Binary	Dec	Hex	Oct	Binary
192 193 194 195 196 197 198 199 200 201 202 203 204 205 206 207	C0 C1 C2 C3 C4 C5 C6 C7 C8 C9 CA CB CC CD CE CF	300 301 302 303 304 305 306 307 310 311 312 313 314 315 316 317	11000000 1100001 11000010 1100010 11000101 11000101 11000110 11000111 1100100	208 209 210 211 212 213 214 215 216 217 218 219 220 221 222 223	D0 D1 D2 D3 D4 D5 D6 D7 D8 D9 DA D9 DA DB DC DD DE DF	320 321 322 323 324 325 326 327 330 331 332 333 334 335 336 337	11010000 11010011 11010010 11010011 11010100 11010101 11010110 11010111 110110	224 225 226 227 228 229 230 231 232 233 234 235 236 237 238 239	E0 E1 E2 E3 E4 E5 E6 E7 E8 E9 EA EB EC ED EE EF	340 341 342 343 344 345 346 347 350 351 352 353 354 355 356 357	11100000 1110001 11100010 11100010 11100100	240 241 242 243 244 245 246 247 248 249 250 251 252 253 254 255	F0 F1 F2 F3 F4 F5 F6 F7 F8 F9 FA F0 F1 F7 F8 F0 F0 F1 F7 F7 F7 F7 F7 F7 F7 F7 F7 F7 F7 F7 F7	360 361 362 363 364 365 366 367 370 371 372 373 374 375 376 377	11110000 11110001 11110010 11110010 11110100 11110101 11110110

# A.4 Protocol used to encode ASCII in GS1 DataMatrix

#### **Table A-1 Codeword values**

Codeword	Data or Function
1-128	ASCII data (ASCII value + 1)
129	Pad
130-229	2-digit data 00-99 (Numeric Value + 130)
230	Latch to C40 encodation
231	Latch to Base 256 encodation
232	FNC1
233	Structured Append
234	Reader Programming
235	Upper Shift (shift to Extended ASCII)
236	05 Macro
237	06 Macro
238	Latch to ANSI X12 encodation
239	Latch to Text encodation
240	Latch to EDIFACT encodation
241	ECI Character
242-255	Not to be used in ASCII encodation





### A.5 Structure of codewords used in GS1 DataMatrix

Figure A-1 Representation of a codeword in a symbol character for ECC 200



LSB = Least significant bit MSB = Most significant bit

## A.6 Use of GS1 DataMatrix for healthcare products

Working in close co-operation with healthcare regulators, hospitals, pharmacies and medical suppliers, GS1 has and continues to develop standards to improve patient safety needs by using GS1 DataMatrix to encode the information such as, but not limited to, the following:

- AI (01) Global Trade Item Number (GTIN)
- AI (17) Expiration Date
- AI (10) Batch Number
- AI (21) Serial Number

The GTIN is a globally unique identification number for medical products and can be used to meet many requirements in the healthcare supply chain including but not limited to (refer to: <u>http://www.gs1.org/healthcare</u> for more detailed information):

- Ensuring the right drug is used at the point of administration
- Providing efficiency in product ordering and cost re-imbursement schemes
- A globally recognized system of identification and barcoding for pharmaceutical and medical device traceability
- A reference key for ensuring compliance to any local regulatory requirements (e.g., Unique medical device identification - UDI - for traceability & efficient recall procedures), pharmaceutical traceability, etc.,

The expiration date and batch number are used in combination with the GTIN to provide traceability and ensure out-of-date products are never administered. For certain medical equipment (e.g., specialist medical devices), a GTIN and AI (21) Serial Number are being recommended.

For more information on the use of both GS1 DataMatrix and the recommended GS1 Application Identifiers in the healthcare sector please visit the *GS1 Healthcare User Group* 

Website: <a href="http://www.gs1.org/sectors/healthcare/">http://www.gs1.org/sectors/healthcare/</a>

# A.7 GS1 DataMatrix questions and answers (Informative)

The examples that follow are intended to show the reader a method to approximate symbol parameters such as symbol size (by module), symbol dimension and symbol data capacity. However, these parameters depend on the characteristics of the specific data elements used and to the specific arrangement of these data within the data string.

Detailed information on the process for minimisation of symbol size may be found in ISO/ IEC 16022:2006 - Annex P, Encoding data using the minimum symbol data characters for ECC 200.



**Note**: The use of "off the shelf" encoding software (conforming to ISO/IEC 16022:2006) is an efficient way to obtain exact values for specific data content and arrangement.

**Q.1**: How much data can I get into a GS1 DataMatrix symbol with a 20 X 20 symbol size (including Finder Pattern)?

- Step 1: From Table 1.2.2-1, look down column "Symbol Size" until you find the row that contains Row 20 – Col 20
- **Step 2**: Follow this row to the columns labelled Maximum Data Capacity to find the maximum numeric or alphabetic data capacity.
  - Note: For GS1 DataMatrix, the first character is the Function 1 Character (FNC1). This will reduce the maximum data capacity by 2 for numeric or by 1 for alphabetic encoding. Use of subsequent FNC1 and shift characters will also decrease the maximum data content by 2 numeric characters or 1 alphanumeric character per instance of use.
- Step 3: The table shows that 44 numeric, minus 2 numeric for FNC1, for a total of 42 numeric data capacity; it shows 31 alphabetic, minus 1 alphabetic for FNC1, for a total of 30 alphabetic data capacity.

Symbo size*	I	Data re	gion	Mapping matrix size	Total codew			um apacity	% of code- words used for error	Max. correctable codewords
							Num.	Alpha- num.	corrections	error/erasu re
Row	Col	Size	No.		Data	Error	Cap.	Cap.		
10	10	8x8	1	8x8	3	5	6	3	62.5	2/0
12	12	10x10	1	10x10	5	7	10	6	58.3	3/0
14	14	12x12	1	12x12	8	10	16	10	55.6	5/7
16	16	14x14	1	14x14	12	12	24	16	50	6/9
18	18	16x16	1	16x16	18	14	36	25	43.8	7/11
20	20	18x18	1	18x18	22	18	44	31	45	9/15
22	22	20x20	1	20x20	30	20	60	43	40	10/17

#### Figure A-2 Data Matrix data capacity

**Q.2**: I want to print a GS1 DataMatrix symbol with symbol size of 18 X 18. I only have enough space to print a symbol with total size of 5.08 mm X 5.08 mm ( $0.2'' \times 0.2''$ ); what X-dimension will allow me to print this symbol?

- Step 1: The number of modules, per side, is the value of the symbol size plus 2 (for quiet zones) for each dimension, so the number of modules required to print a symbol size of 18 X 18 is 20 modules X 20 modules.
- Step 2: Divide the length (I) given by the number (n) of modules to obtain the module size (X)
   X = I/n = 5.08 mm/ 20 = 0.254 mm (0.010")

Q.3: I want to print a GS1 DataMatrix symbol containing a GTIN and a 10 digit serial number:

What is the smallest square symbol size that I can use?

How large will the symbol be if my X-dimension is 0.25 mm (0.010")?

• **Step 1**: To encode GTIN + Serial Number, determine the total amount of data needed to encode the GS1 DataMatrix symbol:



Figure A-3 Symbol size calc	ulation
-----------------------------	---------

Element	Number of Codewords
<fnc1></fnc1>	1
<ai 01=""></ai>	1
<gtin></gtin>	7
<ai 21=""></ai>	1
<serial number=""></serial>	5
Total	15

Step 2: Using Table 1.2.2-1 find the smallest size symbol that will support the number of codewords required. Under Total Codewords – Data, the symbol size supporting 18 codewords is the smallest symbol that will support 15 codewords. The Symbol Size column shows that this is an 18 X 18 symbol.

The final symbol size, including Finder pattern is  $18 \times 18$ . With quiet zones, the total size is  $20 \times 20$ .

Symbol size*		Data reg	ion	Mapping matrix size			Maximum data capacity		% of code- words used for error	Max. correctable codewords
							Num.	Alpha- num.	corrections	error/era- sure
Row	Col	Size	No.		Data	Error	Cap.	Cap.		
10	10	8x8	1	8x8	3	5	6	3	62.5	2/0
12	12	10x10	1	10x10	5	7	10	6	58.3	3/0
14	14	12x12	1	12x12	8	10	16	10	55.6	5/7
16	16	14x14	1	14x14	12	12	24	16	50	6/9
18	18	16x16	1	16x16	18	14	36	25	43.8	7/11
20	20	18x18	1	18x18	22	18	44	31	45	9/15
22	22	20x20	1	20x20	30	20	60	43	40	10/17

Figure A-4 Symbol size calculation

**Step 3**: Calculate the symbol dimension for X-dimension of 0.254 mm (0.010"):

The symbol dimension (D) is the total number of modules (m) times the X-dimension.

D = 20 \* 0.254 mm = 5.08 mm (0.20")

Therefore, the final symbol size is 5.08 mm X 5.08 mm (0.20" X 0.20")

 $\ensuremath{\textbf{Q.4}}\xspace:$  I want to print a GS1 DataMatrix symbol containing a GTIN and an 8 alphanumeric character serial number:

- 1. What is the smallest square symbol size that I can use?
- 2. How large will the symbol be if my X-dimension is 0.254 mm (0.010")?
- Step 1: To encode GTIN + Serial Number, determine the total number of codewords needed to encode the GS1 DataMatrix symbol:



	Figure	A-5	Symbol	data	size	calculation
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Element	Data	Number of Codewords
<fnc1></fnc1>	1 alpha (2 digit equivalent)	1
<ai 01=""></ai>	2 digits	1
<gtin></gtin>	14 digits	7
<ai 21=""></ai>	2 digits	1
<shift alpha="" to=""></shift>	1 alpha	1
<serial number=""></serial>		8
Total		19

Step 2: Using Table 1.2.2-1 find the smallest size symbol that will support the number of codewords required. Under Total Codewords – Data, the symbol size supporting 22 codewords is the smallest symbol that will support 19 codewords. The Symbol Size column shows that this is a 20 X 20 symbol.

The final symbol size including finder pattern is 20 X 20. With quiet zones the total size is 22 X 22.

Symbol size*		Data region		Mapping matrix size	Total codewords		Maximum data capacity		% of code- words used for error	Max. correctable codewords
							Num.	Alpha- num.	corrections	error/era- sure
Row	Col	Size	No.		Data	Error	Cap.	Cap.		
10	10	8x8	1	8x8	3	5	6	3	62.5	2/0
12	12	10x10	1	10x10	5	7	10	6	58.3	3/0
14	14	12x12	1	12x12	8	10	16	10	55.6	5/7
16	16	14x14	1	14x14	12	12	24	16	50	6/9
18	18	16x16	1	16x16	18	14	36	25	43.8	7/11
20	20	18x18	1	18x18	22	18	44	31	45	9/15
22	22	20x20	1	20x20	30	20	60	43	40	10/17

Figure A-6 Symbol size calculation

 Step 3: Calculate the symbol dimension for X-dimension of 0.254 mm (0.010"): The symbol dimension (D) is the total number of modules (m) times the X-dimension. D = 22 \* 0.254 mm = 5.59 mm (0.22").

Therefore, the final symbol size is 5.59 mm X 5.59 mm (0.22" X 0.22")

#### Encoding example (Informative)

In this example, we will encode a six digit string "123456".

**Step** 1: Data encodation

ASCII representation of the data is:

Data Characters: `1' `2' `3' `4' `5' `6'

Codeword = (numerical value of digit pairs) + 130



So, the details of this calculation are as follows: 12'' = 12 + 130 = 142

"34" = 34 + 130 = 164 "56" = 56 + 130 = 186

Therefore, the data stream after data encodations is: Decimal: 142 164 186

Consulting the configuration table for GS1 DataMatrix (see <u>Table 1-1</u>), we can see that three data codewords correspond to the capacity of a 10 rows x 10 columns symbol. Similarly that symbol carries five error correction codewords. If the number of encoded codewords required is fewer than the available capacity, the remaining space should be filled with pad characters.

Step 2: Error correction

By using the Reed-Solomon algorithm (see Annex E from Standard ISO/IEC 16022), the five error correction codewords give us the following total chain:

Codeword	1	2	3	4	5	6	7	8
Decimal	142	164	186	114	25	5	88	102
Hex	8E	A4	ВА	72	19	05	58	66

On the other hand, the binary translation (see, The International Standard ISO/IEC 646 for representation of each character (hex, decimal, octal and binary)) would be:

10001110 10100100 10111010 01110010 00011001 00000101 01011000 01100110

**Step 3:** Matrix Building

The final binary codewords are placed in the matrix as symbol characters according to the algorithm described in Annex F from Standard ISO/IEC 16022 (F.3), where 1.1 corresponds to the 1st bit of the first codeword, 1.2 corresponds to the 2nd bit of the first codeword, 1.3 corresponds to the 3rd bit of the first codeword etc. The final matrix would be:

2.1	2.2	3.6	3.7	3.8	4.3	4.4	4.5
2.3	2.4	2.5	5.1	5.2	4.6	4.7	4.8
2.6	2.7	2.8	5.3	5.4	5.5	1.1	1.2
1.5	6.1	6.2	5.6	5.7	5.8	1.3	1.4
1.8	6.3	6.4	6.5	8.1	8.2	1.6	1.7
7.2	6.6	6.7	6.8	8.3	8.4	8.5	7.1
7.4	7.5	3.1	3.2	8.6	8.7	8.8	7.3
7.7	7.8	3.3	3.4	3.5	4.1	4.2	7.6

This will lead to a following pattern:





After colouring the patterns which are numbered 1:



Finally we add the finder pattern to cover the symbol above:





# **B** Bibliography

The documents listed below are either directly or indirectly quoted within the document.

- GS1 General Specifications
- 2D Barcode Verification Process Implementation Guideline
- ISO/IEC 16022:2006 Information technology Data Matrix barcode symbology specification
- ISO/IEC 15415 Barcode print quality test specification Two-dimensional symbols
- ISO/IEC 15418 GS1 Application Identifiers and ASC MH 10 Data Identifiers and Maintenance
- ISO/IEC 15434 Syntax for high-capacity ADC media
- ISO/TR 29158: Information Technology Automatic Identification and Data Capture Techniques
   Direct Part Mark (DPM) Quality Guideline
- Semiconductor Association (SEMI): SEMI T2-0298E Marking wafers with a Data Matrix code
- USA's Department of Defence: MIL STD 130 Identification Marking of U.S. Military Property
- Electronics Industry Association (EIA): EIA 706 Component Marking
- The [USA's] National Aeronautics and Space Administration: NASA STD 6002 Applying Data Matrix Identification Symbols for Aerospace products



# C Glossary of terms

Please refer to the <u>www.gs1.org/glossary</u> for the latest version of the glossary. The list below aims to provide the reader with summary of the technical terms and acronyms used in the context of GS1 DataMatrix. The objective is to aid the understanding and ensure unambiguous use of terminology throughout the document.

Term	Definition					
2-Dimensional symbology	Optically readable symbols that must be examined both vertically and horizontally to read the entire message. Two-dimensional symbols may be one of two types: matrix symbols and multi-row symbols. Two-dimensional symbols have error detection and may include error correction features.					
alphanumeric (an)	Describes a character set that contains alphabetic characters (letters), numeric digits (numbers), and other characters, such as punctuation marks.					
aperture	A physical opening that is part of the optical path in a device such as a scanner, photometer, or camera. Most apertures are circular, but they may be rectangular or elliptical.					
attribute	An element string that provides additional information about an entity identified with a GS1 identification key, such as batch number associated with a Global Trade Item Number (GTIN).					
automatic identification and data capture (AIDC)	A technology used to automatically capture data. AIDC technologies include barcodes, smart cards, biometrics and RFID.					
barcode verification	The assessment of the printed quality of a barcode based on ISO/IEC standards using ISO/IEC compliant barcode verifiers.					
batch / lot	The batch or lot number associates an item with information the manufacturer considers relevant for traceability of the trade item. The data may refer to the trade item itself or to items contained in it.					
carrier (logistics)	The party that provides freight transportation services or a physical or electronic mechanism that carries business information.					
check digit	A final digit calculated from the other digits of some GS1 identification keys. This digit is used to check that the data has been correctly composed. (See GS1 check digit calculation on <u>http://www.gs1.org/check-digit-calculator</u> )					
concatenation	The representation of several element strings in one barcode.					
configuration level	Assignment or grouping of trade items that includes one or more of the same trade item.					
coupon	A voucher that can be redeemed at the point-of-sale for a cash value or free item.					
customer	The party that receives, buys, or consumes an item or service.					
data character	A letter, digit, or other symbol represented in the data field(s) of an element string.					
Data Matrix	A standalone, two-dimensional matrix symbology that is made up of square modules arranged within a perimeter finder pattern. Data Matrix ISO version ECC 200 is the only version that supports GS1 system identification numbers, including the Function 1 Symbol Character (FNC1). Data Matrix symbols are read by two-dimensional imaging scanners or vision systems.					
full string	The data transmitted by the barcode reader from reading a data carrier, including the symbology identifier as well as the encoded data.					
Function 1 Symbol Character (FNC1)	A symbology character used in some GS1 data carriers for specific purposes.					



Term	Definition						
GS1 AIDC data carrier	A means to represent data in a machine readable form; used to enable automatic reading of the element strings as specified for use by GS1.						
GS1 Application Identifier	The field of two or more digits at the beginning of an element string that uniquely defines its format and meaning.						
GS1 Application Identifier data field	The data used in a business application defined by one GS1 Application Identifier.						
GS1 Company Prefix	A unique string of four to twelve digits used to issue GS1 identification keys. The first digits are a valid GS1 Prefix and the length must be at least one longer than the length of the GS1 Prefix. The GS1 Company Prefix is issued by a GS1 Member Organisation. As the GS1 Company Prefix varies in length, the issuance of a GS1 Company Prefix excludes all longer strings that start with the same digits from being issued as GS1 Company Prefixes. See also U.P.C Company Prefix.						
GS1 DataMatrix	GS1 implementation specification for use of Data Matrix						
GS1 identification key	A unique identifier for a class of objects (e.g. a trade item) or an instance of an object (e.g. a logistic unit).						
GS1 Member Organisation	A member of GS1 that is responsible for administering the GS1 system in its country (or assigned area). This task includes, but is not restricted to, ensuring user companies make correct use of the GS1 system, have access to education, training, promotion and implementation support and have access to play an active role in GSMP.						
GS1 Prefix	A unique string of two or more digits issued by GS1 Global Office and allocated to GS1 Member Organisations to issue GS1 Company Prefixes or allocated to other specific areas.						
GS1 system	The specifications, standards, and guidelines administered by GS1.						
human readable interpretation(HRI)	Characters, such as letters and numbers, which can be read by persons and are encoded in GS1 AIDC data carriers confined to a GS1 standard structure and format. The human readable interpretation is a one-to-one illustration of the encoded data. However start, stop, shift and function characters, as well as the symbol check character, are not shown in the human readable interpretation.						
identification number	A numeric or alphanumeric field intended to enable the recognition of one entity versus another.						
linear barcode	Barcode symbology using bars and spaces in one dimension.						
magnification	Different sizes of barcodes based on a nominal size and a fixed aspect ratio; stated as a percentage or decimal equivalent of a nominal size.						
module	The narrowest nominal width unit of measure in a barcode. In certain symbologies, element widths may be specified as multiples of one module. Equivalent to X-dimension.						
point-of-sale (POS)	Refers to the retail checkout where omnidirectional barcodes must be used to enable ver rapid scanning or low volume checkout where linear or 2D matrix barcodes are used with image-based scanners.						
Quiet Zone	A clear space which precedes the start character of a barcode and follows the stop character. Formerly referred to as "clear area" or "light margin".						
scanner	An electronic device to read barcode and convert them into electrical signals understandable by a computer device.						
separator character	Special character(s) that are defined as part of GS1 symbologies and used to separate concatenated element strings, based on their positioning in the GS1 barcodes.						
serial number	A code, numeric or alphanumeric, assigned to an individual instance of an entity for its lifetime. Example: microscope model AC-2 with serial number 1234568 and microscope model AC-2 with serial number 1234569. A unique individual item may be identified with the combined Global Trade Item Number (GTIN) and serial number.						



Term	Definition						
special characters	Special characters that are designated by the symbology specification.						
substrate	The material on which a barcode is printed.						
Supplier	The party that produces, provides, or furnishes an item or service.						
Symbol	The combination of symbol characters and features required by a particular symbology, including Quiet Zone, start and stop characters, data characters, and other auxiliary patterns, which together form a complete scannable entity; an instance of a symbology and a data structure.						
symbol character	A group of bars and spaces in a symbol that is decoded as a single unit. It may represent an individual digit, letter, punctuation mark, control indicator, or multiple data characters.						
symbol check character	A symbol character or set of bar/space patterns included within a GS1-128 or GS1 DataBar symbol, the value of which is used by the barcode reader for the purpose of performing a mathematical check to ensure the accuracy of the scanned data. It is not shown in human readable interpretation. It is not input to the barcode printer and is not transmitted by the barcode reader.						
symbol contrast	An ISO/IEC 15416 parameter that measures the difference between the largest and smallest reflectance values in a Scan Reflectance Profile (SRP).						
symbology	A defined method of representing numeric or alphabetic characters in a barcode; a type of barcode.						
symbology element	A character or characters in a barcode used to define the integrity and processing of the symbol itself (e.g., start and stop patterns). These elements are symbology overhead and are not part of the data conveyed by the barcode.						
symbology identifier	A sequence of characters generated by the decoder (and prefixed to the decoded data transmitted by the decoder) that identifies the symbology from which the data has been decoded.						
X-dimension	The specified width of the narrowest element of a barcode.						